

P W 1 5 - A

R O X A S H O L D I N G S , I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. MA. HAZEL L. RABARA-RETARDO

8771-7800

September 30
Month Day
Fiscal Year

GENERAL INFORMATION SHEET 2020

Form Type

February 3rd Wed.
Month Day
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

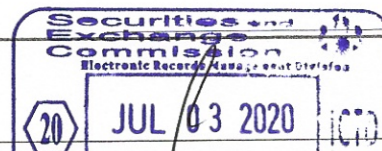
STAMPS

Remarks = pls. Use black ink for scanning purposes

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION



GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED BY THE SEC IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS HOLDINGS, INC.		DATE REGISTERED: 31-Oct-30
BUSINESS/TRADE NAME: N/A		FISCAL YEAR END: September 30
SEC REGISTRATION NUMBER: PW 15-A		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-290-538-000
DATE OF ANNUAL MEETING PER BY-LAWS: Every 2nd Wednesday of March of each year		WEBSITE/URL ADDRESS: N/A
ACTUAL DATE OF ANNUAL MEETING: June 4, 2020		E-MAIL ADDRESS: N/A
COMPLETE PRINCIPAL OFFICE ADDRESS: 14F Net One Center, 26th St. cor. 3rd Ave. Bonfacio Global City, Taguig.		FAX NUMBER: 8771-7820 / 8771-7821
COMPLETE BUSINESS ADDRESS: 14F Net One Center, 26th St. cor. 3rd Ave. Bonfacio Global City, Taguig.		TELEPHONE NUMBER(S): 8771-7800 to 05
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SGV & Co. / Aileen L. Saringan		SEC ACCREDITATION NUMBER (if applicable): 0096-AR-4 (Group A)
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding and Investment Company		INDUSTRY CLASSIFICATION: N/A
GEOGRAPHICAL CODE: N/A		

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
FIRST AGRI HOLDINGS CORPORATION	N/A	10F MGO Bldg. Legaspi cor. Dela Rosa St., Makati City
FP NATURAL RESOURCES HOLDINGS BV	N/A	Prins Bernhardplein 200, 1097 JB Amsterdam, The Netherlands
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
CENTRAL AZUCARERA DE LA CARLOTA, INC.	CS200253516	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
ROXOL BIOENERGY CORPORATION	CS200803267	Brgy. Nagasi, La Carlota City, Negros Occidental
SAN CARLOS BIOENERGY, INC.	CS200508967	San Carlos Ecozone, Brgys. Palampas and Punao, San Carlos City, Negros Occidental
NAJALIN AGRI-VENTURES, INC.	76154	CAC Compound, La Carlota City, Negros Occidental
ROXAS POWER CORPORATION	CS200810985	Units 1 & 2 Landing, JP Laurel St., Nasugbu, Batangas
RHI AGRI-BUSINESS DEVELOPMENT CORPORATION	CS201503434	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
ROXAS PACIFIC BIOENERGY CORPORATION	CS201502639	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
RHI PACIFIC COMMERCIAL CORP.	CS201517914	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
NORTHEASTERN PORT AND STORAGE CORPORATION	CS200728594	Emerald Arcade City Center, FC Ladesma Avenue, San Carlos City,

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

ROXAS HOLDINGS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes ☒ No

Please check the appropriate box:

1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.		4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals	
		5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone	
		6. Company service providers which, as a business, provide any of the following services to third parties:	
2. <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)		<input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person	
3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)		7. Persons who provide any of the following services:	
		<input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities	
		8. <input type="checkbox"/> None of the above	
		Describe nature of business:	
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?		<input type="radio"/> Yes <input checked="" type="radio"/> No	

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ROXAS HOLDINGS, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	2,000,000,000	1.00	2,000,000,000.00			
TOTAL		2,000,000,000	TOTAL P	2,000,000,000.00			
SUBSCRIBED CAPITAL							
F I L I P I N O	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	6	COMMON	1,023,903,953	254,207,734	1.00	1,023,903,953.00	66.14
		less Treasury shares	17,643,480				
TOTAL		1,041,547,433	TOTAL	TOTAL P	1,023,903,953.00		
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Various		COMMON	524,031,846		1.00	524,031,846.00	33.86
Percentage of Foreign Equity :		TOTAL	524,031,846	TOTAL	TOTAL P	524,031,846.00	
					TOTAL SUBSCRIBED P	1,547,935,799.00	
PAID-UP CAPITAL							
F I L I P I N O	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
	6	COMMON	1,023,903,953	1.00	1,023,903,953.00		66.14
TOTAL		1,023,903,953	TOTAL	TOTAL P	1,023,903,953.00		
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
		COMMON	524,031,846	1.00	524,031,846.00		33.86
33.85 %		TOTAL	524,031,846	TOTAL	TOTAL P	524,031,846.00	
				TOTAL PAID-UP P	1,547,935,799.00		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: ROXAS HOLDINGS, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PEDRO E. ROXAS 6 Ipil Road, South Forbes Park, Makati City	FILIPINO	Y	C	M	Y	Chairman	N/M	104-738-165
2. MANUEL V. PANGILINAN 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St.,	FILIPINO	Y	M	M	Y	Vice Chairman	C/C	914-481-801
3. HUBERT D. TUBIO 63 P. Almendras St., Mabolo, Cebu City	FILIPINO	Y	M	M	Y	President & CEO	N/A	102-574-830
4. RAY C. ESPINOSA Unit 25H, One Mckinley Place, 28th Street, Crescent Park West, BGC, Taguig	FILIPINO	Y	M	M	Y	M	N/A	113-248-118
5. ALEX ERLITO S. FIDER 18 19 & 17/Flrs, Liberty Ctr. 104 H. Dela Costa St. Salcedo Village, Makati City	FILIPINO	Y	M	M	Y	M	N/A	107-043-050
6. CHRISTOPHER H. YOUNG PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City	FILIPINO	Y	M	M	Y	M	A/M	178-235-537
7. OSCAR J. HILADO #32 Unit 308, Florante St., Brgy. Plainview, Mandaluyong City	FILIPINO	Y	M	M	Y	ID	A,C/M; N/C	123-535-799
8. ARLYN S. VILLANUEVA 9F 902 Antel Corporate Centre, 121 Valer St., Salcedo Village, Makati City	FILIPINO	Y	M	F	Y	ID	A/C	103-501-444-000
9. SANTIAGO T. GABIONZA, JR. 20/F 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City	FILIPINO	Y	M	M	Y	ID	N,C/M	106-915-999
10. CYNTHIA L. DELA PAZ #18 Capitol Golf Townhomes & Golfers Villas, Diliman, Quezon City	FILIPINO	Y	M	F	N	Corporate Secretary	N/A	107-043-122
11. MA. HAZEL L. RABARA-RETARDO #21 Jalaur Triangle NIA Village, Quezon City	FILIPINO	N	N/A	F	N	Asst. Corp Sec/Compliance	N/A	214-216-562
12. AIMEE E. PEDAYO 41 Sta. Monica, Kapitolyo, Pasig City	FILIPINO	N	N/A	F	N	Asst. Corporate Secretary	N/A	273-720-041-000
13. ARCADIO S. LOZADA, JR. #32 Unit 308, Florante St., Brgy. Plainview, Mandaluyong City	FILIPINO	Y	M	M	N	EVP-Operations Advisor	N/A	104-240-170
14. CELSO T. DIMARUCUT 124 Nicanor Abelardo cor. Conchita Cruz Dr., BF Executive, Paranaque City	FILIPINO	Y	M	M	N	Treasurer /CFO	N/A	117-990-083
15. FREDERICK E. REYES Unit 1, Hillside Residences, Sigma Drive, Alpha Village, Capitol Hills, Quezon City	FILIPINO	N	N/A	M	N	VP - Human Resources	N/A	101-847-858
16. JAYNEL R. SULANGI 7307 Marcelo Ave., Phase 3, Marcelo Green Vil. Paranaque City	FILIPINO	N	N/A	M	N	VP - ICT	N/A	407-471-477
17. JOSE MANUEL L. MAPA 12 Strawflower St., Lexington Garden Village, Pasig City	FILIPINO	N	N/A	M	N	VP - GM ADC	N/A	150-569-038
18. JOSE B. VILLANUEVA III Block 10 Lot 12 Nectarine Street, Pacific Park Plaza Village, Paliparan I.	FILIPINO	N	N/A	M	N	VP - CMO, CADPI & SCBI	N/A	150-569-038
19. PILIPINO T. CAYETANO Blk. 17 Lot 9, Sonata St. La Recidencia De Sta. Rosa Subd. Sta. Rosa, Laguna	FILIPINO	N	N/A	M	N	VP - CMO, CACI & RBC	N/A	138-561-574
20. JULIUS G. RUGAS Lot 7, Blackberry Medowood Executive Village, Bacoar, Cavite	FILIPINO	N	N/A	M	N	VP - Manuf. Oper.	N/A	138-561-574
21. VERONICA C. CORTEZ ACM Woodstock Ho,es, B39 L15, PH 3, Alapan I-A, Imus, Cavite	FILIPINO	N	N/A	F	N	AVP - Finance	N/A	217-875-713
22. DAISY PERPETUA A. BO B17 L2 G. Silang St., New capitol Estate 1, Brgy. Batasan Hills, Quezon City	FILIPINO	N	N/A	F	N	AVP - QA/Safety /IE	N/A	100-905-256
23. JOSEPHINE M. LOGROÑO 117 Washington St., Green Park Village, Pasig City	FILIPINO	N	N/A	F	N	AVP - Internal Audit	N/A	912-370-417
24. JAYPEE V. JIMENEZ #108 Carnation St. Palmera Spring 1	FILIPINO	N	N/A	M	N	AVP - Proc. & Maint	N/A	242-975-443-000
25. ANNA G. YU Unit 10K Villa Marie Executive Townhomes, 18th Avenue, Quezon City	FILIPINO	N	N/A	F	N	Chief Credit & Risk Officer	N/A	112-071-056

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		ROXAS HOLDINGS, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		2,080		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 1,856		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		13,732,811,973.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. PCD NOMINEE CORPORATION Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	902,927,903.00	902,927,903.00	58.33%	902,927,903.00	000-138-757-000
	TOTAL	902,927,903	902,927,903.00			
2. PCD NOMINEE CORPORATION Non-Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	523,850,839	523,850,839	33.84%	523,850,839.00	000-599-760-000
	TOTAL	523,850,839	523,850,839.00			
3. ROXAS & COMPANY, INC. Filipino 7/F Cacho Gonzalez Building, 101 Aguirre, Legazpi Village, Makati, 1226 Metro Manila	C	99,624,995	99,624,995	6.44%	99,624,995.00	102-574-830
	TOTAL	99,624,995	99,624,995.00			
4. OTHERS (Indicate the number of the remaining stockholders)	C	21,532,062	21,532,062	1.39%	21,532,062.00	
	TOTAL	21,532,062.00	21,532,062			
5.	C					
	TOTAL					
6.	C					
	TOTAL					
7.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,547,935,799	100.00%	1,547,935,799.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

PLEASE PRINT LEGIBLY

NOTE: USE ADDITIONAL SHEET IF NECESSARY


I, AIMEE E. PEDAYO, Assistant Corporate Secretary of ROXAS HOLDINGS, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this JUL 03 2020 day of July, 2020 in Makati City.


AIMEE E. PEDAYO
(Signature over printed name)

Makati City JUL 03 2020
SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of BIR TIN No. 273-720-041-000 issued at _____ on June 03, 2011.

Doc. No. 5
Page No. 02
Book No. 49
Series of 2020


RUBEN T. RAMIREZ
Notary Public for Makati City
Until December 31, 2021
2086 E. Pasean St., Makati City
IBP O.R. No. 097071/December 10, 2019
Roll No. 28947/MCLE No. VI-0020246
PTR No. MKT 8117044/1-02-2020
Appointment No. M-158

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

PW 15-A

CORPORATE NAME:

ROXAS HOLDINGS, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Pedro E. Roxas (through Roxas & Company, Inc.)	6 Ipil Road, South Forbes Park, Makati City	Filipino	19-Mar-56	104-738-165	20.56%	I & D	I (Chairman of RCI)
Manuel V. Pangilinan(through First Pacific Natural Resources Holdings BV)	10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati City	Filipino	14-Jul-46	914-481-801	30.22%	I & D	I (Managing Director & CEO)
Ray C. Espinosa (through First Pacific Natural Resources Holdings BV)	Unit 25H, One McKinley Place, 28th St., Crescent Park West, BGC, Taguig	Filipino	27-Apr-56	113-248-118	30.22%	I & D	I (Associate Director)

Christopher H. Young (through First Pacific Natural Resources Holdings BV)	PLDT, 12th Floor, Ramon Cojuanco Bldg., Makati Ave., Makati City	Filipino	25-Jul-57	178-235-537	30.22%	I & TTD	(Executive Director & CFO)

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

COMMITTEES OF ROXAS HOLDINGS, INC.

The Board of Directors also elected the following Directors to the standing Committees of RHI as follows:

Executive Committee:

Mr. Pedro E. Roxas	-	Chairman
Mr. Manuel V. Pangilinan	-	Member
Mr. Oscar J. Hilado	-	Member (Independent Director)

Audit Committee:

Ms. Arlyn S. Villanueva	-	Chairman (Independent Director)
Mr. Christopher H. Young	-	Member
Mr. Oscar J. Hilado	-	Member (Independent Director)

Corporate Governance Committee (formerly, Governance, Nomination & Election Committee):

Mr. Oscar J. Hilado	-	Chairman (Independent Director)
Mr. Pedro E. Roxas	-	Member
Mr. Santiago T. Gabionza, Jr.	-	Member (Independent Director)

Compensation Committee:

Mr. Manuel V. Pangilinan	-	Chairman
Mr. Santiago T. Gabionza, Jr.	-	Member (Independent Director)
Mr. Oscar J. Hilado	-	Member (Independent Director)

Mr. Oscar J. Hilado was likewise elected as Lead Independent Director of the Company.

ANNEX "A"

The Top Twenty (20) Holders of the common shares as of 31 March 2020 were:

	Stockholder	Nationality	Total No. of Shares	%
1.	PCD Nominee Corporation	Filipino	902,894,886	57.672
2.	PCD Nominee Corporation	Non-Filipino	523,850,839	33.461
3.	Roxas & Company, Inc.	Filipino	99,624,995	6.363
4.	Insular Life Assurance Co., LTD	Filipino	1,198,520	0.077
5.	Jose A. Manzano Jr.	Filipino	1,029,007	0.066
6.	Gilbert Liu	Filipino	1,014,000	0.065
7.	Emilio Pantoja	Filipino	785,078	0.050
8.	Armando M. Medina	Filipino	770,640	0.049
9.	Leonardo T. Siguion Reyna	Filipino	608,400	0.039
10.	Raul S. Roco	Filipino	486,720	0.031
11.	O. Ledesma & Co., Inc.	Filipino	446,160	0.028
12.	BMI Holding Corporation	Filipino	431,964	0.028
13.	Antonio G. Nieto	Filipino	367,450	0.023
14.	Corona Realty & Development Corp.	Filipino	365,040	0.023
15.	Lorna Perez Laurel	Filipino	354,900	0.023
16.	Abel Z. Silva III	Filipino	354,900	0.023
17.	Elaine Villar Rivilla	Filipino	346,788	0.022
18.	Rodolfo W. Antonino	Filipino	338,000	0.022
19.	Emma Lopez	Filipino	324,804	0.021
20.	Evelina M. Boongaling	Filipino	321,214	0.021
	Subtotal		1,535,914,305	99.13%
	Other Shareholders		13,422,086	0.87%
	Grand Total		1,549,336,391	100%

PDTC PARTICIPANTS AS OF MARCH 31, 2020

OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ROX000000000 - Roxas Holdings, Inc.

Business Date: March 31, 2020

BP NAME	HOLDING
FIRST METRO SECURITIES BROKERAGE CORP.	974,163,700
MAYBANK ATR KIM ENG SECURITIES, INC.	304,243,020
RCBC SECURITIES, INC.	72,152,789
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	14,255,998
BDO SECURITIES CORPORATION	9,248,208
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	7,632,277
SB EQUITIES, INC.	6,515,938
ASTRA SECURITIES CORPORATION	5,265,800
STRATEGIC EQUITIES CORP.	4,096,133
PAPA SECURITIES CORPORATION	3,913,334
BPI SECURITIES CORPORATION	3,275,584
COL Financial Group, Inc.	2,846,466
PHILSTOCKS FINANCIAL INC	2,015,534
E. CHUA CHIACO SECURITIES, INC.	1,898,208
ABACUS SECURITIES CORPORATION	1,421,381
VALUE QUEST SECURITIES CORPORATION	1,245,000
UNICAPITAL SECURITIES INC.	1,189,809
EASTERN SECURITIES DEVELOPMENT CORPORATION	815,768
TOWER SECURITIES, INC.	809,334
EVERGREEN STOCK BROKERAGE & SEC., INC.	799,671
TANSENGCO & CO., INC.	716,315
HDI SECURITIES, INC.	652,985
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	624,859
WEALTH SECURITIES, INC.	586,417
G.D. TAN & COMPANY, INC.	570,000
R. COYIUTO SECURITIES, INC.	408,148
PREMIUM SECURITIES, INC.	401,859
REGINA CAPITAL DEVELOPMENT CORPORATION	379,099
ANSALDO, GODINEZ & CO., INC.	261,281
QUALITY INVESTMENTS & SECURITIES CORPORATION	234,778
MANDARIN SECURITIES CORPORATION	221,880
BDO NOMURA SECURITIES INC	205,734
GUILD SECURITIES, INC.	188,014
AP SECURITIES INCORPORATED	183,910
SUMMIT SECURITIES, INC.	177,583
CAMPOS, LANUZA & COMPANY, INC.	157,629
INVESTORS SECURITIES, INC.	156,500
WESTLINK GLOBAL EQUITIES, INC.	155,306
LOPEZ, LOCSIN, LEDESMA & CO., INC.	155,080
PNB SECURITIES, INC.	153,598
IGC SECURITIES INC.	134,576

SOLAR SECURITIES, INC.	130,537
R. S. LIM & CO., INC.	129,334
DEUTSCHE REGIS PARTNERS, INC.	128,120
CHINA BANK SECURITIES CORPORATION	124,056
CTS GLOBAL EQUITY GROUP, INC.	114,390
AB CAPITAL SECURITIES, INC.	107,448
TRITON SECURITIES CORP.	95,344
A & A SECURITIES, INC.	91,988
R & L INVESTMENTS, INC.	80,630
PHILIPPINE EQUITY PARTNERS, INC.	78,977
F. YAP SECURITIES, INC.	67,694
EAGLE EQUITIES, INC.	62,662
JSG SECURITIES, INC.	60,560
DAVID GO SECURITIES CORP.	57,252
FIRST ORIENT SECURITIES, INC.	53,800
YAO & ZIALCITA, INC.	51,000
RCBC TRUST & INVESTMENT DIVISION	50,797
UCPB SECURITIES, INC.	45,242
NEW WORLD SECURITIES CO., INC.	45,000
PAN ASIA SECURITIES CORP.	45,000
DIVERSIFIED SECURITIES, INC.	40,000
ALPHA SECURITIES CORP.	37,214
YU & COMPANY, INC.	36,424
R. NUBLA SECURITIES, INC.	36,056
GLOBALINKS SECURITIES & STOCKS, INC.	35,348
EQUITIWORLD SECURITIES, INC.	34,952
MERCANTILE SECURITIES CORP.	31,600
I. B. GIMENEZ SECURITIES, INC.	30,616
JAKA SECURITIES CORP.	27,768
MOUNT PEAK SECURITIES, INC.	25,240
RTG & COMPANY, INC.	24,652
LUYS SECURITIES COMPANY, INC.	21,999
OPTIMUM SECURITIES CORPORATION	20,338
DA MARKET SECURITIES, INC.	20,000
E.SECURITIES, INC.	17,160
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	16,248
BA SECURITIES, INC.	15,085
B. H. CHUA SECURITIES CORPORATION	13,512
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	13,114
INTRA-INVEST SECURITIES, INC.	13,112
BELSON SECURITIES, INC.	12,799
VENTURE SECURITIES, INC.	12,680
EAST WEST CAPITAL CORPORATION	10,224
TRENDLINE SECURITIES CORPORATION	9,996
BENJAMIN CO CA & CO., INC.	9,960
CITIBANK N.A.	6,084
A. T. DE CASTRO SECURITIES CORP.	5,228

ASIAN CAPITAL EQUITIES, INC.	3,900
SALISBURY BKT SECURITIES CORPORATION	3,588
DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	2,880
ASIASEC EQUITIES, INC.	2,028
PLATINUM SECURITIES, INC.	2,028
MARINO OLONDRIZ Y CIA	1,404
FIDELITY SECURITIES, INC.	1,300
EQUITABLE SECURIITES (PHILS.) INC.	612
S.J. ROXAS & CO., INC.	350
MDR SECURITIES, INC.	338
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	216
HK SECURITIES, INC.	131
APEX PHILIPPINES EQUITIES CORPORATION	112
I. ACKERMAN & CO., INC.	28
J.M. BARCELON & CO., INC.	28
KING'S POWER SECURITIES, INC.	28
CENTRAL AZUCARERA DE DON PEDRO	11

LIST OF TOP 100 STOCKHOLDERS
As Of March 31, 2020

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL
PCD NOMINEE CORPORATION (FILIPINO)	1,140,247,918	0	1,140,247,918	39.158
SPCI HOLDINGS, INC.	692,779,593	0	692,779,593	23.791
PESAN HOLDINGS, INC.	326,921,001	0	326,921,001	11.227
PCD NOMINEE CORPORATION (NON-FILIPINO)	258,455,844	0	258,455,844	8.876
CISCO HOLDINGS INC.	112,500,000	0	112,500,000	3.863
CRE HOLDINGS INC.	112,500,000	0	112,500,000	3.863
INIGO HOLDINGS INC.	112,500,000	0	112,500,000	3.863
SRE HOLDINGS INC.	112,500,000	0	112,500,000	3.863
PESAN HOLDINGS, INC.	13,606,519	0	13,606,519	0.467
RIZAL COMMERCIAL BANKING CORPORATION	3,048,161	0	3,048,161	0.105
ANTONIO ROXAS CHUA	2,379,610	0	2,379,610	0.082
MARICARMEN R. DE ELIZALDE	1,361,241	0	1,361,241	0.047
SANTIAGO R. ELIZALDE	1,210,930	0	1,210,930	0.042
CARLOS ANTONIO R. DE ELIZALDE	1,200,320	0	1,200,320	0.041
FRANCISCO JOSE DE ELIZALDE, JR.	1,200,320	0	1,200,320	0.041
CENTRAL AZUCARERA DELA CARLOTA RETIREMENTTR	1,178,400	0	1,178,400	0.040
PEDRO E. ROXAS	937,892	0	937,892	0.032
EQUITABLE SEC. FAO INIGO R. DE ELIZALDE	933,810	0	933,810	0.032
SEVERO A. TUASON & CO., INC.	537,000	0	537,000	0.018
DOLORES TEUS DE M. VARA DE REY	488,020	0	488,020	0.017
CONCEPCION TEUS VDA. DE M. VARA DE REY	445,650	0	445,650	0.015
MA. DEL PINO RUIZ	336,700	0	336,700	0.012
HOSPITAL DE SAN JUAN DE DIOS, INC.	327,280	0	327,280	0.011
INSULAR LIFE ASSURANCE CO., LTD.	295,191	0	295,191	0.010
INIGO R. DE ELIZALDE	266,510	0	266,510	0.009
WARD MANAGEMENT CORP.	213,250	0	213,250	0.007
PETER KAW SEK	187,870	0	187,870	0.006
PILAR ZUASTI VDA DE BELZUNCE	149,880	0	149,880	0.005
MANUEL NAVASCUES Y NAVASCUE	148,940	0	148,940	0.005
ALFREDO TANUNLIONG	135,120	0	135,120	0.005
WONG SOTSEN	134,740	0	134,740	0.005
CARLOS JALANDONI	128,360	0	128,360	0.004
ALLEN CHAM	108,820	0	108,820	0.004
RAQUEL TOUZET AZPILICUETA	107,530	0	107,530	0.004
TERESITA C. VELEZ	107,444	0	107,444	0.004
MARIA ROSA MORA AUDET	105,240	0	105,240	0.004
ELVIRA BALENZATEGUI VDA. DE LOPEZ	103,340	0	103,340	0.004
UNITED INS. CO., INC.	95,060	0	95,060	0.003
RAMON SILVERIO	94,480	0	94,480	0.003
BALBINA RIBAS TORRENTS	92,590	0	92,590	0.003
REGINA T. ROXAS	91,378	0	91,378	0.003
JOSE A. MANZANO JR.	90,979	0	90,979	0.003
GILBERT LIU	89,652	0	89,652	0.003
MARIA ROLINDA M. HODGKINSON	86,801	0	86,801	0.003
LOURDES C. VELEZ	86,380	0	86,380	0.003
L.L.P. ENTERPRISES INC.	86,320	0	86,320	0.003
MARY WALLER LANGHORNE	77,220	0	77,220	0.003
GO CHI SING	76,610	0	76,610	0.003
JOSE MA. JAVELLANA	73,160	0	73,160	0.003
JOSE R. DE ITOIZ AND/OR JULIETA	71,010	0	71,010	0.002
EMILIO PANTOJA	69,412	0	69,412	0.002
ARMANDO M. MEDINA	68,136	0	68,136	0.002
ALL ASIA CAPITAL & TRUST CORPORATION	65,667	0	65,667	0.002
PHIL. REMNANTS CO., INC.	64,780	0	64,780	0.002
ARLO SEC., INC.	63,710	0	63,710	0.002
FILINVEST CAPITAL, INC.	61,143	0	61,143	0.002
RAMON A. PICORNELL JR.	59,170	0	59,170	0.002
CELEDONIO SANTAMARIA	59,050	0	59,050	0.002
GEM BUSINESS FACTORS CORP.	58,600	0	58,600	0.002
MAGDALENA L. LEDESMA	58,020	0	58,020	0.002
JOHN BENSON	57,610	0	57,610	0.002
JOAQUIN M. ELIZALDE (ESTATE OF)	57,180	0	57,180	0.002
MAURO TAN	56,690	0	56,690	0.002
ADELAIDA P. BARCELO	56,280	0	56,280	0.002
LEONARDO T. SIGUION REYNA	53,791	0	53,791	0.002
MELISSA ABOITIZ ELIZALDE &/OR LUIS JACOBO	52,624	0	52,624	0.002
POMPEYO H. LOPEZ	50,790	0	50,790	0.002
CEBU OXYGEN ACETYLENE CO., INC.	50,120	0	50,120	0.002
RAMIREZ VDA DE CORTA ESPERANZA	49,600	0	49,600	0.002
GANET MANAGEMENT CORP.	49,440	0	49,440	0.002

CECILIA M. JALANDONI	49,130	0	49,130	0.002
NICOLAS L. LEDESMA	48,730	0	48,730	0.002
JOSE LUIS DE ESCUBI	47,890	0	47,890	0.002
MARY RUTH ELIZALDE	47,320	0	47,320	0.002
MARIANO TIONG	47,230	0	47,230	0.002
AMALIA CORREA VEGLISON	47,130	0	47,130	0.002
PHILIPPINE PROVINCE OF THE RELIGIOUS OF TH	46,530	0	46,530	0.002
ANGELA A. CELIS	46,510	0	46,510	0.002
URBANCORP INVESTMENT, INC.	45,681	0	45,681	0.002
EDUARDO L. LEDESMA	44,580	0	44,580	0.002
SENG COMPANY CHUA	43,730	0	43,730	0.002
RODRIGO R. GONZALEZ	43,730	0	43,730	0.002
WILLIAM HIO GO TO	43,730	0	43,730	0.002
CHUA SITTAT	43,730	0	43,730	0.002
JESUS JALBUENA	43,470	0	43,470	0.001
RAMIRO LIM, JR.	43,460	0	43,460	0.001
RAUL S. ROCO	43,033	0	43,033	0.001
LUCIANO TAN	41,640	0	41,640	0.001
CHARLES PARSONS	39,680	0	39,680	0.001
BMI HOLDINGS CORPORATION	38,192	0	38,192	0.001
CARMELO DE LEIZAOLA ESCUBI	38,120	0	38,120	0.001
REMEDIOS J. DE LOPEZ VITO	38,100	0	38,100	0.001
DEOGRACIAS, DELA PAZ, JR.	37,790	0	37,790	0.001
ASUNSCION S. MONTINOLA &/OR TRINO MONTINOL	37,780	0	37,780	0.001
PRISCILIO M. CARAIG	37,639	0	37,639	0.001
JORGE C. BESSIERES	37,210	0	37,210	0.001
LUIS L. LEDESMA	37,150	0	37,150	0.001
JOAQUIN G. TEVES	36,000	0	36,000	0.001
FILINVEST CAPITAL, INC.	35,861	0	35,861	0.001
MA. CELINA L. LEDESMA	35,700	0	35,700	0.001
GEORGE J.L. DE LEON	35,680	0	35,680	0.001
J.L. DE LEON INVESTMENTS, INC.	35,680	0	35,680	0.001
V.L. INVESTMENT & DEVT., INC.	35,680	0	35,680	0.001
MA. DOLORES MARTINEZ VARA DE REY Y TEU	35,520	0	35,520	0.001
MA. TERESA MARTINEZ- VARA DE REY Y TEU	35,520	0	35,520	0.001
ALBERTO JALBUENA	34,850	0	34,850	0.001
MIGUEL V. GONZALEZ	34,420	0	34,420	0.001
CARMEN SILVELA Y CASTELLO	33,660	0	33,660	0.001
ACEBEDO Y. POMBO	33,450	0	33,450	0.001
JAIME GOMEZ ACEBO Y. MODET	33,440	0	33,440	0.001

GRAND TOTAL (110)	2,903,676,273	0	2,903,676,273
-------------------	---------------	---	---------------

OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - RCI000000000

ROXASAND COMPANY, INC.

Business Date: March 31, 2020

BPNAME	HOLDINGS
MAYBANK ATR KIM ENG SECURITIES, INC.	1,092,439,262
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	250,658,217
COL Financial Group, Inc.	12,740,237
BPI SECURITIES CORPORATION	9,654,417
BDO SECURITIES CORPORATION	7,791,920
FIRST METRO SECURITIES BROKERAGE CORP.	7,090,139
AB CAPITAL SECURITIES, INC.	3,605,655
PHILSTOCKS FINANCIAL INC	3,114,307
STRATEGIC EQUITIES CORP.	1,529,148
EASTERN SECURITIES DEVELOPMENT CORPORATION	918,897
ASTRA SECURITIES CORPORATION	890,242
RCBC SECURITIES, INC.	794,409
ABACUS SECURITIES CORPORATION	636,875
E. CHUA CHIACO SECURITIES, INC.	549,324
BDO NOMURA SECURITIES INC	471,476
CTS GLOBAL EQUITY GROUP, INC.	422,590
G.D. TAN & COMPANY, INC.	400,000
SB EQUITIES, INC.	399,609
YU & COMPANY, INC.	391,962
QUALITY INVESTMENTS & SECURITIES CORPORATION	310,000
TOWER SECURITIES, INC.	296,532
PAPA SECURITIES CORPORATION	293,854
EAGLE EQUITIES, INC.	225,179
YAO & ZIALCITA, INC.	225,000
GLOBALINKS SECURITIES & STOCKS, INC.	209,687
I. B. GIMENEZ SECURITIES, INC.	201,823
VALUE QUEST SECURITIES CORPORATION	192,000
BELSON SECURITIES, INC.	191,335
E.SECURITIES, INC.	153,787
AP SECURITIES INCORPORATED	128,351
R. COYIUTO SECURITIES, INC.	128,036
TANSENGCO & CO., INC.	120,303
SOLAR SECURITIES, INC.	115,801
WEALTH SECURITIES, INC.	102,693
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	101,462
LUYS SECURITIES COMPANY, INC.	100,000
INVESTORS SECURITIES, INC.	84,739
CITIBANK N.A.	82,812
REGINA CAPITAL DEVELOPMENT CORPORATION	73,563
J.M. BARCELON & CO., INC.	73,000
MANDARIN SECURITIES CORPORATION	65,712
JAKA SECURITIES CORP.	58,935
FIRST ORIENT SECURITIES, INC.	58,912
PREMIUM SECURITIES, INC.	46,688
SUMMIT SECURITIES, INC.	46,047
ANSALDO, GODINEZ & CO., INC.	42,252
CHINA BANK SECURITIES CORPORATION	40,409
LOPEZ, LOCSIN, LEDESMA & CO., INC.	33,965
DIVERSIFIED SECURITIES, INC.	31,876
R & L INVESTMENTS, INC.	28,313
UNICAPITAL SECURITIES INC.	26,388
F. YAP SECURITIES, INC.	21,435
UCPB SECURITIES, INC.	20,793
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	20,193
PHILIPPINE EQUITY PARTNERS, INC.	17,242

BPNAME	HOLDINGS
PNB SECURITIES, INC.	15,916
HDI SECURITIES, INC.	15,063
MERIDIAN SECURITIES, INC.	15,000
MOUNT PEAK SECURITIES, INC.	13,179
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	12,680
IGC SECURITIES INC.	11,122
TRI-STATE SECURITIES, INC.	10,379
B. H. CHUA SECURITIES CORPORATION	9,404
AAA SOUTHEAST EQUITIES, INCORPORATED	9,000
DAVID GO SECURITIES CORP.	8,742
TRITON SECURITIES CORP.	8,527
DEUTSCHE REGIS PARTNERS, INC.	7,172
EQUITABLE SECURITIES (PHILS.) INC.	7,032
NEW WORLD SECURITIES CO., INC.	6,793
EQUITIWORLD SECURITIES, INC.	6,096
ASIASEC EQUITIES, INC.	5,389
MERCANTILE SECURITIES CORP.	5,358
A & A SECURITIES, INC.	5,266
GOLDSTAR SECURITIES, INC.	5,076
RTG & COMPANY, INC.	5,012
TIMSON SECURITIES, INC.	5,000
JSG SECURITIES, INC.	4,124
ALPHA SECURITIES CORP.	3,819
OPTIMUM SECURITIES CORPORATION	3,796
CAMPOS, LANUZA & COMPANY, INC.	3,681
GUILD SECURITIES, INC.	3,103
UPCC SECURITIES CORP.	3,000
STANDARD SECURITIES CORPORATION	2,690
EVERGREEN STOCK BROKERAGE & SEC., INC.	2,620
BENJAMIN CO CA & CO., INC.	2,470
INTRA-INVEST SECURITIES, INC.	2,400
A. T. DE CASTRO SECURITIES CORP.	2,230
R. S. LIM & CO., INC.	1,794
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	1,794
SALISBURY BKT SECURITIES CORPORATION	1,255
VENTURE SECURITIES, INC.	1,002
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	998
BA SECURITIES, INC.	983
TRENDLINE SECURITIES CORPORATION	884
PRYCE SECURITIES, INC.	880
LUCKY SECURITIES, INC.	608
I. ACKERMAN & CO., INC.	570
EAST WEST CAPITAL CORPORATION	538
ASIAN CAPITAL EQUITIES, INC.	414
WESTLINK GLOBAL EQUITIES, INC.	414
H. E. BENNETT SECURITIES, INC.	359
R. NUBLA SECURITIES, INC.	359
MDR SECURITIES, INC.	358
SECURITIES SPECIALISTS, INC.	300
DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	207
RCBC TRUST & INVESTMENT DIVISION	180
PLATINUM SECURITIES, INC.	179
KING'S POWER SECURITIES, INC.	179
MARINO OLONDRIZ Y CIA	124
ASIAMERIT SECURITIES, INC.	122
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	99
LARRGO SECURITIES CO., INC.	88
HK SECURITIES, INC.	80
MAPFRE INSULAR INSURANCE CORPORATION-NON LIFE	40
APEX PHILIPPINES EQUITIES CORPORATION	10
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	2

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt

BPNAME

HOLDINGS

hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.