



## SECURITIES AND EXCHANGE COMMISSION

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### Company Information

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**SEC Registration No.:** PW0000015A

**Company Name:** ROXAS HOLDINGS, INC DOING BUSINESS UNDER THE NAME AND STYLE OF CADP GROUP

**Industry Classification:** D15790

**Company Type:** Stock Corporation

### Document Information

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**Document ID:** OST1041920228309090

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** March 16, 2022

**Submission Type:** Annual Meeting

**Remarks:** No page 6 and 7

P W 1 5 - A

R O X A S H O L D I N G S , I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R  
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O  
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. AIMEE E. PEDAYO

8771-7800

2022

GENERAL INFORMATION SHEET

September 30  
Month Day  
Fiscal Year

Form Type

March 16<sup>th</sup> Wed.

Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document  
Number/Section

Amended

Articles

Total Amount of Borrowings

Total No. of Stockholders  
Foreign

Domestic

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

| GENERAL INFORMATION SHEET (GIS)  |                             |   |                               |
|--|-----------------------------|---|-------------------------------|
| FOR THE YEAR 2022  |                             |   |                               |
| STOCK CORPORATION  |                             |   |                               |
| <b>GENERAL INSTRUCTIONS:</b>   |                             |   |                               |
| 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A" IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. |                             |   |                               |
| 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.  |                             |   |                               |
| 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.   |                             |   |                               |
| 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.   |                             |   |                               |
| 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE   |                             |   |                               |
| 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.   |                             |   |                               |
| 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS   |                             |   |                               |
| ***** PLEASE PRINT LEGIBLY *****   |                             |   |                               |
| <b>CORPORATE NAME:</b>   |                             | <b>DATE REGISTERED:</b>   |                               |
| ROXAS HOLDINGS, INC.   |                             | 31-Oct-30   |                               |
| <b>BUSINESS/TRADE NAME:</b>  |                             | <b>FISCAL YEAR END:</b>   |                               |
| N/A  |                             | September 30  |                               |
| <b>SEC REGISTRATION NUMBER:</b>  |                             | <b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b>                                  |                               |
| PW 15-A  |                             | 000-290-538-000   |                               |
| <b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>   |                             | <b>ACTUAL DATE OF ANNUAL MEETING:</b>   |                               |
| Every 3rd Wednesday of April   |                             | March 16, 2022  |                               |
| <b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>  |                             | <b>WEBSITE/URL ADDRESS:</b>   |                               |
| 14F Net One Center, 26th st. cor. 3rd Ave. Bonifacio Global City, Taguig, Metro Manila   |                             | N/A   |                               |
| <b>COMPLETE BUSINESS ADDRESS:</b>  |                             | <b>E-MAIL ADDRESS:</b>  |                               |
|  |                             |   |                               |
| <b>OFFICIAL E-MAIL ADDRESS</b>   |                             | <b>ALTERNATE E-MAIL ADDRESS</b>   | <b>OFFICIAL MOBILE NUMBER</b> |
| corporatesecretary@rhi.com.ph  |                             | aimee.pedayo@rhi.com.ph /<br>hazel.rabera@rhi.com.ph                              | 09985914710                   |
| <b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b>   |                             | <b>SEC ACCREDITATION NUMBER (if applicable):</b>                                  | <b>TELEPHONE NUMBER(S):</b>   |
| SGV & Co. / Aileen L. Saringan   |                             | 0096-AR-4 (Group A)   | 8771-7800 to 05               |
| <b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>   |                             | <b>INDUSTRY CLASSIFICATION:</b>   | <b>GEOGRAPHICAL CODE:</b>     |
| To engage in the business of producing, marketing and trading of sugar, molasses, etc.   |                             | N/A   |                               |
| ***** INTERCOMPANY AFFILIATIONS *****  |                             |   |                               |
| <b>PARENT COMPANY</b>  | <b>SEC REGISTRATION NO.</b> | <b>ADDRESS</b>  |                               |
| FIRST AGRI HOLDINGS CORPORATION  | N/A                         | 10F MGO Bldg Legaspi cor. Dela Rosa St., Makati City                              |                               |
| FP NATURAL RESOURCES HOLDINGS BV   | N/A                         | Prins Bernhardplein 200, 1097 JB Amsterdam, The Netherlands                       |                               |
| <b>SUBSIDIARY/AFFILIATE</b>  | <b>SEC REGISTRATION NO.</b> | <b>ADDRESS</b>  |                               |
| CENTRAL AZUCARERA DON PEDRO, INC.  | A200105204                  | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| CENTRAL AZUCARERA DE LA CARLOTA, INC.  | CS200253516                 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| ROXOL BIOENERGY CORPORATION  | CS200803267                 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| SAN CARLOS BIOENERGY, INC.   | CS200508957                 | San Carlos Ecozone, Brgys. Palampas and Punao, San Carlos City, Negros Occidental |                               |
| ROXAS POWER CORPORATION  | CS200810985                 | Units 1 & 2 Lending, JP Laurel St., Nasugbu, Batangas                             |                               |
| RHI AGRI-BUSINESS DEVELOPMENT CORPORATION  | CS201503434                 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| ROXAS PACIFIC BIOENERGY CORPORATION  | CS201502639                 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| RHI PACIFIC COMMERCIAL CORP.   | CS201517914                 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig                              |                               |
| NORTHEASTERN PORT AND STORAGE CORPORATION  | CS200728584                 | Emerald Arcade City Center, FC Ladesma Avenue, San Carlos City, Negros Occidental |                               |
| NOTE: USE ADDITIONAL SHEET IF NECESSARY  |                             |   |                               |



## GENERAL INFORMATION SHEET

## STOCK CORPORATION

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Corporate Name:

ROXAS HOLDINGS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐

Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐

Jewelry dealers in precious stones, who, as a business, trade in precious stone

6.

Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7.

Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐

None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes☒ No



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ROXAS HOLDINGS, INC.

### CAPITAL STRUCTURE

#### AUTHORIZED CAPITAL STOCK

|              | TYPE OF SHARES * | NUMBER OF<br>SHARES  | PAR/STATED VALUE | AMOUNT (PhP)<br>(No. of shares X Par/Stated Value) |
|--------------|------------------|----------------------|------------------|--|
|              | COMMON           | 2,000,000,000        | 1.00             | 2,000,000,000.00                                   |
|              |                  |                      |                  |  |
|              |                  |                      |                  |  |
| <b>TOTAL</b> |                  | <b>2,000,000,000</b> | <b>TOTAL P</b>   | <b>2,000,000,000.00</b>                            |

#### SUBSCRIBED CAPITAL

| FILIPINO     | NO. OF<br>STOCK-<br>HOLDERS | TYPE OF SHARES *        | NUMBER OF<br>SHARES  | NUMBER OF<br>SHARES IN THE<br>HANDS OF THE<br>PUBLIC ** | PAR/STATED<br>VALUE | AMOUNT (PhP)            | % OF<br>OWNERSHIP |
|--------------|-----------------------------|-------------------------|----------------------|---|---------------------|-------------------------|-------------------|
|              |                             | COMMON                  | 1,023,903,953        | 254,207,734   | 1.00                | 1,023,903,953.00        | 66.14             |
|              |                             | less Treasury<br>shares | 17,643,480           |   |                     |                         |                   |
| <b>TOTAL</b> |                             |                         | <b>1,041,547,433</b> | <b>TOTAL</b>  | <b>TOTAL P</b>      | <b>1,023,903,953.00</b> |                   |

| FOREIGN<br>(INDICATE BY<br>NATIONALITY) | NO. OF<br>STOCK-<br>HOLDERS | TYPE OF SHARES * | NUMBER OF<br>SHARES | NUMBER OF<br>SHARES IN THE<br>HANDS OF THE<br>PUBLIC ** | PAR/STATED<br>VALUE | AMOUNT (PhP)   | % OF<br>OWNERSHIP |
|---|-----------------------------|------------------|---------------------|---|---------------------|----------------|-------------------|
| Various                                 |                             | COMMON           | 524,031,846         |   | 1.00                | 524,031,846.00 | 33.86             |
|   |                             |                  |                     |   |                     |                |                   |
|   |                             |                  |                     |   |                     |                |                   |
|   |                             |                  |                     |   |                     |                |                   |

|                                |       |             |                  |         |                  |
|--------------------------------|-------|-------------|------------------|---------|------------------|
| Percentage of Foreign Equity : | TOTAL | 524,031,846 | TOTAL            | TOTAL P | 524,031,846.00   |
|                                |       |             | TOTAL SUBSCRIBED | P       | 1,547,935,799.00 |

#### PAID-UP CAPITAL

| FILIPINO     | NO. OF<br>STOCK-<br>HOLDERS | TYPE OF SHARES * | NUMBER OF<br>SHARES  | PAR/STATED VALUE | AMOUNT (PhP)            | % OF<br>OWNERSHIP |
|--------------|-----------------------------|------------------|----------------------|------------------|-------------------------|-------------------|
|              | 5                           | COMMON           | 1,023,903,953        | 1.00             | 1,023,903,953.00        | 66.14             |
|              |                             |                  |                      |                  |                         |                   |
|              |                             |                  |                      |                  |                         |                   |
| <b>TOTAL</b> |                             |                  | <b>1,023,903,953</b> | <b>TOTAL P</b>   | <b>1,023,903,953.00</b> |                   |

| FOREIGN<br>(INDICATE BY<br>NATIONALITY) | NO. OF<br>STOCK-<br>HOLDERS | TYPE OF SHARES * | NUMBER OF<br>SHARES | PAR/STATED VALUE   | AMOUNT (PhP)            | % OF<br>OWNERSHIP |
|---|-----------------------------|------------------|---------------------|--------------------|-------------------------|-------------------|
|   |                             | COMMON           | 524,031,846         | 1.00               | 524,031,846.00          | 33.86             |
|   |                             |                  |                     |                    |                         |                   |
|   |                             |                  |                     |                    |                         |                   |
|   |                             |                  |                     |                    |                         |                   |
| <b>33.85 %</b>                          |                             |                  | <b>TOTAL</b>        | <b>524,031,846</b> | <b>TOTAL P</b>          |                   |
| <b>TOTAL PAID-UP P</b>                  |                             |                  |                     |                    | <b>1,547,935,799.00</b> | <b>100.00</b>     |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



# GENERAL INFORMATION SHEET

STOCK CORPORATION

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| CORPORATE NAME:   |             | ROXAS HOLDINGS, INC. |       |        |              |   |               |                           |
|---|-------------|----------------------|-------|--------|--------------|---|---------------|---------------------------|
| DIRECTORS / OFFICERS  |             |                      |       |        |              |   |               |                           |
| NAME/CURRENT RESIDENTIAL ADDRESS  | NATIONALITY | INC'R                | BOARD | GENDER | STOCK HOLDER | OFFICER   | EXEC. COMM.   | TAX IDENTIFICATION NUMBER |
| 1. PEDRO O. ROXAS<br>6 Ipil Road, South Forbes Park, Makati City  | FILIPINO    | Y                    | C     | M      | Y            | Chairman  | N/M           | 104-738-165               |
| 2. MANUEL V. PANGILINAN<br>10th Floor PLDT MGO Building,<br>Dela Rosa corner Legaspi St.,<br>Legaspi Village, Makati City | FILIPINO    | N                    | M     | M      | Y            | Vice Chairman   | C/C           | 914-481-801               |
| 3. RAY C. ESPINOSA<br>Unit 25H, One McKinley Place, 28th<br>Street, Crescent Park West, BGC, Taguig                       | FILIPINO    | N                    | M     | M      | Y            | N/A   | N/A           | 113-248-118               |
| 4. ALEX ERLITO S. FIDER<br>1819 & 17/Firs, Liberty Ctr. 104 H. Dela Costa<br>St. Salcedo Village, Makati City             | FILIPINO    | N                    | M     | M      | Y            | N/A   | N/A           | 107-043-050               |
| 5. CHRISTOPHER H. YOUNG<br>PLDT, 12th Floor, Ramon Cojuanco Bldg.,<br>Makati Ave., Makati City                            | BRITISH     | N                    | M     | M      | Y            | N/A   | A/M           | 178-235-537               |
| 6. OSCAR J. HILADO<br>#32 Unit 308, Florante St., Brgy.<br>Plainview, Mandaluyong City                                    | FILIPINO    | N                    | M     | M      | Y            | N/A   | A/C/M;<br>N/C | 123-535-799               |
| 7. ARLYN S. VILLANUEVA<br>9F 902 Antel Corporate Centre, 121 Valer<br>St., Salcedo Village, Makati City                   | FILIPINO    | N                    | M     | F      | Y            | N/A   | A/C           | 103-501-444-000           |
| 8. SANTIAGO T. GABIONZA, JR.<br>20/F 139 Corporate Center, 139 Valero<br>St., Salcedo Village, Makati City                | FILIPINO    | N                    | M     | M      | Y            | N/A   | N,C/M         | 106-915-999               |
| 9. CELSO T. DIMARUCUT<br>124 Nicanor Abelardo cor. Conchita Cruz<br>Dr., BF Executive, Paranaque City                     | FILIPINO    | N                    | M     | M      | Y            | President<br>& CEO  | N/A           | 117-990-083               |
| 10. GEORGE T. CHEUNG<br>101 Fairwood St. McKinley Hill Village,<br>Taguig   | FILIPINO    | N                    | N/A   | M      | N            | EVP-Chief<br>Comm.<br>Officer/Chief<br>Risk Officer         | N/A           | 460-704-473               |
| 11. CYNTHIA L. DE LA PAZ<br>#18 Capitol Golf Townhomes & Golfers<br>Villas, Diliman, Quezon City                          | FILIPINO    | N                    | N/A   | F      | N            | Corporate<br>Secretary                                      | N/A           | 107-043-122               |
| 12. AIMEE E. PEDAYO<br>41 Sta. Monica, Kapitolyo, Pasig City  | FILIPINO    | N                    | N/A   | F      | N            | Asst.<br>Corporate<br>Secretary                             | N/A           | 273-720-041-000           |
| 13. MA. HAZEL L. RABARA-RETARDO<br>#21 Jalaur Triangle NIA Village, Quezon<br>City  | FILIPINO    | N                    | N/A   | F      | N            | VP - Head of<br>Legal and<br>Treasury/Treasurer/ Compliance | N/A           | 214-216-562               |
| 14. FREDERICK E. REYES<br>Unit 1, Hillside Residences, Sigma Drive,   | FILIPINO    | N                    | N/A   | M      | N            | Human<br>Resources  | N/A           | 101-847-858               |
| 15. JAYNEL R. SULANGI<br>7307 Marcelo Ave., Phase 3, Marcelo<br>Green Vil. Paranaque City                                 | FILIPINO    | N                    | N/A   | M      | N            | VP - ICT  | N/A           | 407-471-477               |
| 16. JOSE MANUEL L. MAPA<br>12 Strawflower St., Lexington Garden<br>Village, Pasig City                                    | FILIPINO    | N                    | N/A   | M      | N            | VP-Cane<br>Supply and<br>Agri-Business<br>Services          | N/A           | 150-569-038               |
| 17. GIL M. MORALES<br>251 Bethany Street, Remelville Subdv.,<br>San Rafael II, Noveleta, Cavite                           | FILIPINO    | N                    | N/A   | M      | N            | VP - Plant<br>Head<br>(CADPI)                               | N/A           | 116-534-812               |
| 18. ALEXANDER A. PINO<br>24-A Cambodia Street, Better Living<br>Subdivision,<br>Pasig City (w. 2020)                      | FILIPINO    | N                    | M     | M      | Y            | VP - Plant<br>Head<br>(SCBI)                                | N/A           | 195-778-661               |

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**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

| ===== PLEASE PRINT LEGIBLY =====  |                   |                      |                       |  |                         |                              |
|---|-------------------|----------------------|-----------------------|--|-------------------------|------------------------------|
| <b>CORPORATE NAME:</b>  |                   | ROXAS HOLDINGS, INC. |                       |  |                         |                              |
| <b>TOTAL NUMBER OF STOCKHOLDERS:</b>  |                   | 2,080                |                       | <b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES</b> 1,856 |                         |                              |
| <b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>   |                   |                      |                       | 13,732,811,973.00  |                         |                              |
| STOCKHOLDER'S INFORMATION   |                   |                      |                       |  |                         |                              |
| NAME, NATIONALITY AND CURRENT<br>RESIDENTIAL ADDRESS  | SHARES SUBSCRIBED |                      |                       |  | AMOUNT PAID<br>(PhP)    | TAX IDENTIFICATION<br>NUMBER |
|   | TYPE              | NUMBER               | AMOUNT<br>(PhP)       | % OF OWNER-<br>SHIP                                      |                         |                              |
| 1. PCD NOMINEE CORPORATION<br>Filipino<br>6764 Ayala Ave, Legazpi Village, Makati, Metro<br>Manila                              | C                 | 902,927,903.00       | 902,927,903.00        | 58.33%   | 902,927,903.00          | 000-138-757-000              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      | <b>902,927,903</b>   | <b>902,927,903.00</b> |  |                         |                              |
| 2. PCD NOMINEE CORPORATION<br>Non-Filipino<br>6764 Ayala Ave, Legazpi Village, Makati, Metro<br>Manila                          | C                 | 523,850,839          | 523,850,839           | 33.84%   | 523,850,839.00          | 000-599-760-000              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      | <b>523,850,839</b>   | <b>523,850,839.00</b> |  |                         |                              |
| 3. ROXAS & COMPANY, INC.<br>Filipino<br>7/F Cacho Gonzalez Building, 101 Aguirre,<br>Legazpi Village, Makati, 1226 Metro Manila | C                 | 99,624,995           | 99,624,995            | 6.44%  | 99,624,995.00           | 102-574-830                  |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      | <b>99,624,995</b>    | <b>99,624,995.00</b>  |  |                         |                              |
| 4. OTHERS (Indicate the number of the<br>remaining stockholders)  | C                 | 21,532,062           | 21,532,062            | 1.39%  | 21,532,062.00           |                              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      | <b>21,532,062.00</b> | <b>21,532,062</b>     |  |                         |                              |
| 5.  | C                 |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      |                      | <b>1.00</b>           |  |                         |                              |
| 6.  | C                 |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      |                      |                       |  |                         |                              |
| 7.  |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   |                   |                      |                       |  |                         |                              |
|   | <b>TOTAL</b>      |                      |                       |  |                         |                              |
| <b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>   |                   |                      | 1,547,935,799         | <b>100.00%</b>   | <b>1,547,935,799.00</b> |                              |
| <b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>  |                   |                      |                       |  |                         |                              |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY =====  |   |   |                                     |
|---|---|---|-------------------------------------|
| CORPORATE NAME: ROXAS HOLDINGS, INC.  |   |   |                                     |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION                                       | AMOUNT (PhP)  | DATE OF BOARD RESOLUTION                    |                                     |
| 1.1 STOCKS  | N/A   | N/A   |                                     |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)                                   | N/A   | N/A   |                                     |
| 1.3 LOANS/ CREDITS/ ADVANCES  | N/A   | N/A   |                                     |
| 1.4 GOVERNMENT TREASURY BILLS   | N/A   | N/A   |                                     |
| 1.5 OTHERS  | N/A   | N/A   |                                     |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION  | DATE OF STOCKHOLDERS RATIFICATION           |                                     |
|   |   |   |                                     |
| 3. TREASURY SHARES  | NO. OF SHARES   | % AS TO THE TOTAL NO. OF SHARES ISSUED      |                                     |
|   | N/A   | N/A   |                                     |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR                |   |   |                                     |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:                                  |   |   |                                     |
| TYPE OF DIVIDEND  | AMOUNT (PhP)  | DATE DECLARED                               |                                     |
| 5.1 CASH  | N/A   | N/A   |                                     |
| 5.2 STOCK   | N/A   | N/A   |                                     |
| 5.3 PROPERTY  | N/A   | N/A   |                                     |
| TOTAL   | P   | N/A   |                                     |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:  |   |   |                                     |
| DATE  | NO. OF SHARES   | AMOUNT                                      |                                     |
|   |   |   |                                     |
|   |   |   |                                     |
|   |   |   |                                     |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:                               |   |   |                                     |
| NAME OF AGENCY:   | SEC   | BSP   | IC                                  |
| TYPE OF LICENSE/REGN.   | N/A   | N/A   | N/A                                 |
| DATE ISSUED:  |   |   |                                     |
| DATE STARTED OPERATIONS:  |   |   |                                     |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)              | TOTAL NO. OF OFFICERS<br><br>33 (including Senior Managers, Managers, Asst. Managers & Assoc. Managers) | TOTAL NO. OF RANK & FILE EMPLOYEES<br><br>5 | TOTAL MANPOWER COMPLEMENT<br><br>38 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, AIMEE E. PEDAYO, Assistant Corporate Secretary of Roxas Holdings, Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

APR 12 2022 MAKATI CITY

Done this \_\_\_\_\_ in \_\_\_\_\_.

AIMEE E. PEDAYO

(Signature over printed name)

MAKATI CITY

APR 12 2022

SUBSCRIBED AND SWORN TO before me in \_\_\_\_\_ on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of BIR TIN No. 273-720-041-000 issued at \_\_\_\_\_ on June 3, 2011.

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Series of 2022

ATTY. ROMEO M. MONFORT  
Notary Public City of Makati  
Extended Until June 30, 2022  
Per B.M. 15-10-1

PTR No. 885250 Jan. 3, 2022 Makati City  
Appointment No. M-133 (2020-2021)  
IBP No. 1562634- Jan. 3, 2018  
MCLE NO. VI-0023417 Roll No. 27932  
101 Urban Ave. Campos Rueta Bldg.  
Brgy. Pio Del Pilar, Makati City

# BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: 2022

SEC REGISTRATION NUMBER:

**PW 15-A**

CORPORATE NAME:

ROXAS HOLDINGS, INC.

## Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

### Category

### Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

| COMPLETE NAME<br>(Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)) | SPECIFIC RESIDENTIAL ADDRESS  | NATIONALITY | DATE OF BIRTH | TAX IDENTIFICATION NO. | % OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup> | TYPE OF BENEFICIAL OWNER <sup>3</sup><br>Direct (D) or Indirect (I) | CATEGORY OF BENEFICIAL OWNERSHIP |
|---|---|-------------|---------------|------------------------|---|---|----------------------------------|
| Pedro O. Roxas (through Roxas & Company, Inc.)  | 6 Ipl Road, South Forbes Park, Makati City  | Filipino    | 19-Mar-56     | 104-738-165            | 20.56%  | I & D   | I (Chairman)                     |
| Manuel V. Pangilinan (through First Pacific Natural Resources Holdings BV)                | 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati | Filipino    | 14-Jul-46     | 914-481-801            | 30.22%  | I & D   | I (Vice Chairman)                |
| Ray C. Espinosa (through First Pacific Natural Resources Holdings BV)                     | Unit 25H, One McKinley Place, 28th St., Crescent Park West, BGC, Taguig             | Filipino    | 27-Apr-56     | 113-248-118            | 30.22%  | I & D   | I (Director)                     |
| Christopher H. Young (through First Pacific Natural Resources Holdings BV)                | PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City                   | British     | 25-Jul-57     | 178-235-537            | 30.22%  | I & D   | I (Director)                     |

*Note: This page is not for uploading on the SEC iView.*

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.



# ANNEX "A"

The Top Twenty (20) Holders of the common shares as of 31 March 2022 were:

|     | Stockholder                       | Nationality  | Total No. of Shares | %       |
|-----|-----------------------------------|--------------|---------------------|---------|
| 1.  | PCD Nominee Corporation           | Filipino     | 903,145,948         | 57.688  |
| 2.  | PCD Nominee Corporation           | Non-Filipino | 523,751,839         | 33.454  |
| 3.  | Roxas & Company, Inc.             | Filipino     | 99,624,995          | 6.363   |
| 4.  | Insular Life Assurance Co., LTD   | Filipino     | 1,198,520           | 0.077   |
| 5.  | Jose A. Manzano Jr.               | Filipino     | 1,029,007           | 0.066   |
| 6.  | Gilbert Liu                       | Filipino     | 1,014,000           | 0.065   |
| 7.  | Emilio Pantoja                    | Filipino     | 785,078             | 0.050   |
| 8.  | Armando M. Medina                 | Filipino     | 770,640             | 0.049   |
| 9.  | Leonardo T. Siguion Reyna         | Filipino     | 608,400             | 0.039   |
| 10. | Raul S. Roco                      | Filipino     | 486,720             | 0.031   |
| 11. | O. Ledesma & Co., Inc.            | Filipino     | 446,160             | 0.028   |
| 12. | BMI Holding Corporation           | Filipino     | 431,964             | 0.028   |
| 13. | Antonio G. Nieto                  | Filipino     | 367,450             | 0.023   |
| 14. | Corona Realty & Development Corp. | Filipino     | 365,040             | 0.023   |
| 15. | Lorna Perez Laurel                | Filipino     | 354,900             | 0.023   |
| 16. | Abel Z. Silva III                 | Filipino     | 354,900             | 0.023   |
| 17. | Elaine Villar Rivilla             | Filipino     | 346,788             | 0.022   |
| 18. | Rodolfo W. Antonino               | Filipino     | 338,000             | 0.022   |
| 19. | Emma Lopez                        | Filipino     | 324,804             | 0.021   |
| 20. | Evelina M. Boongaling             | Filipino     | 321,214             | 0.021   |
|     | Subtotal                          |              | 1,536,066,367       | 98.116% |
|     | Other Shareholders                |              | 13,649,222          | 1.884%  |
|     | Grand Total                       |              | 1,549,715,589       | 100%    |

# PDTC PARTICIPANTS AS OF MARCH 31, 2022

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ROX000000000

Business Date: March 31, 2022

| BPNAME                                       | HOLDINGS  |
|--|-----------|
| A & A SECURITIES, INC.                       | 91,988    |
| ABACUS SECURITIES CORPORATION                | 1,390,437 |
| PHILSTOCKS FINANCIAL INC                     | 2,120,718 |
| A. T. DE CASTRO SECURITIES CORP.             | 18,713    |
| ALPHA SECURITIES CORP.                       | 37,214    |
| BA SECURITIES, INC.                          | 15,085    |
| AP SECURITIES INCORPORATED                   | 183,910   |
| ANSALDO, GODINEZ & CO., INC.                 | 261,281   |
| AB CAPITAL SECURITIES, INC.                  | 274,448   |
| SB EQUITIES, INC.                            | 6,505,938 |
| ASIASEC EQUITIES, INC.                       | 2,028     |
| ASTRA SECURITIES CORPORATION                 | 5,265,800 |
| CHINA BANK SECURITIES CORPORATION            | 140,956   |
| BELSON SECURITIES, INC.                      | 2,807     |
| BENJAMIN CO CA & CO., INC.                   | 1,440     |
| B. H. CHUA SECURITIES CORPORATION            | 13,512    |
| JAKA SECURITIES CORP.                        | 27,768    |
| BPI SECURITIES CORPORATION                   | 3,479,440 |
| CAMPOS, LANUZA & COMPANY, INC.               | 157,629   |
| CTS GLOBAL EQUITY GROUP, INC.                | 109,710   |
| TRITON SECURITIES CORP.                      | 95,344    |
| PHILEO ALLIED SECURITIES (PHILIPPINES), INC. | 216       |
| IGC SECURITIES INC.                          | 157,453   |
| DAVID GO SECURITIES CORP.                    | 641,252   |
| DIVERSIFIED SECURITIES, INC.                 | 40,000    |
| E. CHUA CHIACO SECURITIES, INC.              | 1,874,499 |
| EQUITABLE SECURITIES (PHILS.) INC.           | 612       |
| EAST WEST CAPITAL CORPORATION                | 10,224    |
| EASTERN SECURITIES DEVELOPMENT CORPORATION   | 791,768   |
| EQUITIWORLD SECURITIES, INC.                 | 34,952    |
| EVERGREEN STOCK BROKERAGE & SEC., INC.       | 799,671   |
| FIRST ORIENT SECURITIES, INC.                | 53,800    |
| F. YAP SECURITIES, INC.                      | 63,694    |
| GLOBALINKS SECURITIES & STOCKS, INC.         | 61,348    |
| JSG SECURITIES, INC.                         | 60,560    |
| GUILD SECURITIES, INC.                       | 186,961   |
| HDI SECURITIES, INC.                         | 458,985   |
| HK SECURITIES, INC.                          | 131       |
| I. ACKERMAN & CO., INC.                      | 28        |
| I. B. GIMENEZ SECURITIES, INC.               | 20,616    |
| INVESTORS SECURITIES, INC.                   | 305,500   |
| IMPERIAL, DE GUZMAN, ABALOS & CO., INC.      | 16,248    |
| INTRA-INVEST SECURITIES, INC.                | 12,960    |
| ASIAN CAPITAL EQUITIES, INC.                 | 3,900     |
| J.M. BARCELON & CO., INC.                    | 28        |
| VALUE QUEST SECURITIES CORPORATION           | 1,245,000 |
| STRATEGIC EQUITIES CORP.                     | 3,995,133 |



| BPNAME  | HOLDINGS    |
|---|-------------|
| LOPEZ, LOCSIN, LEDESMA & CO., INC.                | 50,000      |
| LUYS SECURITIES COMPANY, INC.                     | 13,999      |
| MANDARIN SECURITIES CORPORATION                   | 221,880     |
| MARINO OLONDRIZ Y CIA                             | 1,404       |
| COL Financial Group, Inc.                         | 3,586,437   |
| DA MARKET SECURITIES, INC.                        | 80,000      |
| MERCANTILE SECURITIES CORP.                       | 31,600      |
| MDR SECURITIES, INC.                              | 338         |
| REGIS PARTNERS, INC.                              | 128,120     |
| MOUNT PEAK SECURITIES, INC.                       | 7,960       |
| NEW WORLD SECURITIES CO., INC.                    | 45,000      |
| OPTIMUM SECURITIES CORPORATION                    | 20,338      |
| RCBC SECURITIES, INC.                             | 72,126,189  |
| PAN ASIA SECURITIES CORP.                         | 45,000      |
| PAPA SECURITIES CORPORATION                       | 3,905,846   |
| MAYBANK ATR KIM ENG SECURITIES, INC.              | 303,582,638 |
| PLATINUM SECURITIES, INC.                         | 2,028       |
| PNB SECURITIES, INC.                              | 153,750     |
| PREMIUM SECURITIES, INC.                          | 255,999     |
| SALISBURY BKT SECURITIES CORPORATION              | 3,588       |
| QUALITY INVESTMENTS & SECURITIES CORPORATION      | 234,778     |
| R & L INVESTMENTS, INC.                           | 80,630      |
| R. COYIUTO SECURITIES, INC.                       | 358,148     |
| REGINA CAPITAL DEVELOPMENT CORPORATION            | 110,452     |
| R. NUBLA SECURITIES, INC.                         | 4,056       |
| R. S. LIM & CO., INC.                             | 22,621      |
| RTG & COMPANY, INC.                               | 14,652      |
| S.J. ROXAS & CO., INC.                            | 350         |
| FIDELITY SECURITIES, INC.                         | 11,300      |
| SUMMIT SECURITIES, INC.                           | 76,233      |
| TANSENGCO & CO., INC.                             | 716,175     |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 13,114      |
| TOWER SECURITIES, INC.                            | 904,678     |
| APEX PHILIPPINES EQUITIES CORPORATION             | 112         |
| TRENDLINE SECURITIES CORPORATION                  | 9,996       |
| UCPB SECURITIES, INC.                             | 28,342      |
| E.SECURITIES, INC.                                | 17,160      |
| VENTURE SECURITIES, INC.                          | 12,680      |
| FIRST METRO SECURITIES BROKERAGE CORP.            | 974,518,580 |
| WEALTH SECURITIES, INC.                           | 586,417     |
| WESTLINK GLOBAL EQUITIES, INC.                    | 155,306     |
| YAO & ZIALCITA, INC.                              | 51,000      |
| YU & COMPANY, INC.                                | 16,144      |
| BDO SECURITIES CORPORATION                        | 9,645,642   |
| EAGLE EQUITIES, INC.                              | 61,662      |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC.          | 594,550     |
| SOLAR SECURITIES, INC.                            | 130,537     |
| G.D. TAN & COMPANY, INC.                          | 911,000     |
| PHILIPPINE EQUITY PARTNERS, INC.                  | 78,977      |
| UNICAPITAL SECURITIES INC.                        | 233,509     |
| TIMSON SECURITIES, INC.                           | 60,000      |
| CITIBANK N.A.                                     | 6,084       |
| RCBC TRUST & INVESTMENT DIVISION                  | 50,797      |

| BPNAME   | HOLDINGS             |
|--|----------------------|
| RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS     | 7,632,277            |
| THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 14,255,998           |
| CENTRAL AZUCARERA DE DON PEDRO                               | 11                   |
| <b>TOTAL</b>   | <b>1,426,897,787</b> |

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.