

**PHILIPPINE CORPORATE ENHANCEMENT AND GOVERNANCE, INC.**  
(Formerly: Philippine Securities Consultancy Corporation)

**CERTIFICATE OF ATTENDANCE**

This is to certify that

**AVP – QA/Safety/EMS and IE**  
(Designation)

of

**DAISY PERPETUA A. BO**

(Name of Participant)  
**Roxas Holdings, Inc.**  
(Name of Company)

has attended the

**CORPORATE GOVERNANCE & AMLA SEMINAR**

(Title of Seminar)

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on November 24, 2017, with the following particulars:

	<b>TOPICS</b>	<b>SPEAKERS</b>
10:00 - 11:00	<p><b>UPDATES</b> On Corporate Governance Regulatory Developments In 2016 &amp; <b>POINTERS</b> To Ensure Effective Corporate Governance</p> <ul style="list-style-type: none"> <li>Comparative Features of RCCG 2009 &amp; CCG for PLCS 2016</li> <li>Corporate Financial Reporting, Disclosure, of Transparency &amp; Accountability Under the Code of Corporate Governance. 2016</li> <li>Pointers for Effective Corporate. Governance System, Oversight Structures, Internal Control &amp; Monitoring</li> </ul>	<p><b>MRS. CATHERINE N. SAPLALA</b></p> <p><i>Former Director Investments &amp; Research Dept. Securities and Exchange Commission (SEC)</i></p> <p><i>BAPA, MBA, MA Research Communications</i></p>
11:00 - 12:00	<p>Corporate <b>RISK</b> Management - An Effective Framework In Corporate Governance:</p> <ul style="list-style-type: none"> <li>Concepts &amp; Assessment</li> <li>Organizational Structure and Strategies</li> <li>Financial/Capital Risk Management System</li> <li>Board of Directors Responsibility on Risk Oversight; Delegated Responsibility to Risk Management Committee; Role of the Risk Management Committee</li> <li>SEC Regulatory Perspective and OECD Principles on Risk Management</li> </ul>	<p><b>MRS. MERCEDES B. SULEIK</b></p> <p><i>Former Deputy Director, Bangko Sentral ng Pilipinas (BSP)</i></p> <p><i>Former Vice-President, DBP</i></p> <p><i>B.S.C., C.P.A., M. A. Economics, Ph.D. (cand.)</i></p> <p><i>Fellow, Institute of Corporate Directors (FICD)</i></p>
01:00 - 3:00	<p><b>Compliance with the Anti-Money Laundering Law (AMLA)</b></p> <ul style="list-style-type: none"> <li>Covered Companies, Institutions and Persons</li> <li>What the Board of Directors &amp; Corporate Officers Need to Know about AMLA</li> <li>Violations, Detection, Investigation, Prosecution, and Penalties</li> <li>Implications on the Local and Global Economy /Financial Environment</li> <li>Role of the Anti-Money Laundering Council (AMLAC) of the Bangko Sentral ng Pilipinas (BSP) in the Implementation and Enforcement of AMLA</li> </ul>	<p><b>ATTY. RICHARD DAVID C. FUNK II</b></p> <p><i>Former Deputy Director /Head Compliance &amp; Investigation Group Anti-Money Laundering Secretariat, Bangko Sentral ng Pilipinas (BSP);</i></p>

Given this 24<sup>th</sup> day of November, 2017, at Makati City, Philippines

  
**ATTY. FIDEL V. ESQUIERES**  
President