

PHILIPPINE CORPORATE ENHANCEMENT AND GOVERNANCE, INC.
(Formerly: Philippine Securities Consultancy Corporation)

CERTIFICATE OF ATTENDANCE

This is to certify that

AVP – QA/Safety/EMS and IE
(Designation)

of

DAISY PERPETUA A. BO

(Name of Participant)
Roxas Holdings, Inc.
(Name of Company)

has attended the

CORPORATE GOVERNANCE & AMLA SEMINAR

(Title of Seminar)

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on November 24, 2017, with the following particulars:

	TOPICS	SPEAKERS
10:00 - 11:00	<p>UPDATES On Corporate Governance Regulatory Developments In 2016 & POINTERS To Ensure Effective Corporate Governance</p> <ul style="list-style-type: none"> • Comparative Features of RCCG 2009 & CCG for PLCS 2016 • Corporate Financial Reporting, Disclosure, of Transparency & Accountability Under the Code of Corporate Governance. 2016 • Pointers for Effective Corporate. Governance System, Oversight Structures, Internal Control & Monitoring 	<p>MRS. CATHERINE N. SAPLALA</p> <p><i>Former Director Investments & Research Dept. Securities and Exchange Commission (SEC)</i></p> <p><i>BAPA, MBA, MA Research Communications</i></p>
11:00 - 12:00	<p>Corporate RISK Management - An Effective Framework In Corporate Governance:</p> <ul style="list-style-type: none"> • Concepts & Assessment • Organizational Structure and Strategies • Financial/Capital Risk Management System • Board of Directors Responsibility on Risk Oversight; Delegated Responsibility to Risk Management Committee; Role of the Risk Management Committee • SEC Regulatory Perspective and OECD Principles on Risk Management 	<p>MRS. MERCEDES B. SULEIK</p> <p><i>Former Deputy Director, Bangko Sentral ng Pilipinas (BSP)</i></p> <p><i>Former Vice-President, DBP</i></p> <p><i>B.S.C., C.P.A., M. A. Economics, Ph.D. (cand.)</i></p> <p><i>Fellow, Institute of Corporate Directors (FICD)</i></p>
01:00 - 3:00	<p>Compliance with the Anti-Money Laundering Law (AMLA)</p> <ul style="list-style-type: none"> • Covered Companies, Institutions and Persons • What the Board of Directors & Corporate Officers Need to Know about AMLA • Violations, Detection, Investigation, Prosecution, and Penalties • Implications on the Local and Global Economy /Financial Environment • Role of the Anti-Money Laundering Council (AMLAC) of the Bangko Sentral ng Pilipinas (BSP) in the Implementation and Enforcement of AMLA 	<p>ATTY. RICHARD DAVID C. FUNK II</p> <p><i>Former Deputy Director /Head Compliance & Investigation Group Anti-Money Laundering Secretariat, Bangko Sentral ng Pilipinas (BSP);</i></p>

Given this 24th day of November, 2017, at Makati City, Philippines


ATTY. FIDEL V. ESQUIERES
President