



## SECURITIES AND EXCHANGE COMMISSION

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### Company Information

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**SEC Registration No.:** PW0000015A

**Company Name:** ROXAS HOLDINGS, INC DOING BUSINESS UNDER THE NAME AND STYLE OF CADP GROUP

**Industry Classification:** D15790

**Company Type:** Stock Corporation

### Document Information

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P W 1 5 - A

R O X A S H O L D I N G S , I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R  
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O  
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. AIMEE E. PEDAYO

8771-7800

September 30  
Month Day  
Fiscal Year

**2022 AMENDED  
GENERAL INFORMATION SHEET**

Form Type

March 16<sup>th</sup> Wed.

Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document  
Number/Section

Amended Articles

Total Amount of Borrowings

Total No. of Stockholders  
Foreign

Domestic

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

<b>AMENDED GENERAL INFORMATION SHEET (GIS)</b> FOR THE YEAR 2022 <b>STOCK CORPORATION</b>			
<b>GENERAL INSTRUCTIONS:</b> 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. <b>DO NOT LEAVE ANY ITEM BLANK.</b> WRITE "N/A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE <b>CORPORATE SECRETARY</b> OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. <b>ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.</b> 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> <div style="text-align: center;">ROXAS HOLDINGS, INC.</div>		<b>DATE REGISTERED:</b> <div style="text-align: center;">31-Oct-30</div>	
<b>BUSINESS/TRADE NAME:</b> <div style="text-align: center;">N/A</div>		<b>FISCAL YEAR END:</b> <div style="text-align: center;">September 30</div>	
<b>SEC REGISTRATION NUMBER:</b> <div style="text-align: center;">PW 15-A</div>		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> <div style="text-align: center;">000-290-538-000</div>	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> <div style="text-align: center;">Every 3rd Wednesday of March</div>		<b>WEBSITE/URL ADDRESS:</b> <div style="text-align: center;">N/A</div>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> <div style="text-align: center;">March 16, 2022</div>		<b>E-MAIL ADDRESS:</b> 	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> <div style="text-align: center;">14F Net One Center, 26th st. cor. 3rd Ave. Bonifacio Global City, Taguig, Metro Manila</div>		<b>FAX NUMBER:</b> 	
<b>COMPLETE BUSINESS ADDRESS:</b> 			
<b>OFFICIAL E-MAIL ADDRESS</b> <div style="text-align: center;">corporatesecretary@rhi.com.ph</div>	<b>ALTERNATE E-MAIL ADDRESS</b> <div style="text-align: center;">aimee.pedayo@rhi.com.ph / hazel.rabara@rhi.com.ph</div>	<b>OFFICIAL MOBILE NUMBER</b> <div style="text-align: center;">09985914710</div>	<b>ALTERNATE MOBILE NUMBER</b> <div style="text-align: center;">09985995941</div>
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> <div style="text-align: center;">SGV &amp; Co. / Aileen L. Saringan</div>		<b>SEC ACCREDITATION NUMBER (if applicable):</b> <div style="text-align: center;">0096-AR-4 (Group A)</div>	<b>TELEPHONE NUMBER(S):</b> <div style="text-align: center;">8771-7800 to 05</div>
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> To engage in the business of producing, marketing and trading of sugar, molasses, etc.		<b>INDUSTRY CLASSIFICATION:</b> <div style="text-align: center;">N/A</div>	<b>GEOGRAPHICAL CODE:</b> 
===== INTERCOMPANY AFFILIATIONS =====			
<b>PARENT COMPANY</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>	
FIRST AGRI HOLDINGS CORPORATION	N/A	10F MGO Bldg Legaspi cor. Dela Rosa St., Makati City	
FP NATURAL RESOURCES HOLDINGS BV	N/A	Prins Bernhardplein200, 1097 JB Amsterdam, The Netherlands	
<b>SUBSIDIARY/AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>	
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig	
SAN CARLOS BIOENERGY, INC.	CS200508867	San Carlos Ecozone, Brgys. Palampas and Punao, San Carlos City, Negros Occidental	
ROXAS POWER CORPORATION	CS200810665	Units 1 & 2 Landing, JP Laurel St., Nasugbu, Batangas	
RHI PACIFIC COMMERCIAL CORP.	CS201517914	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig	
NORTHEASTERN PORT AND STORAGE CORPORATION	CS200728564	Emerald Arcade City Center, PG Ladesma Avenue, San Carlos City, Negros Occidental	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			



**AMENDED GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

ROXAS HOLDINGS, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)** ☐ Yes ☒ No

**Please check the appropriate box:**

**1.**

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

**4.** ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.** ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.** Company service providers which, as a business, provide any of the following services to third parties:

**2.**

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

**3.**

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.** Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.** ☐ None of the above

Describe  
nature of  
business:

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☐ Yes ☒ No



**AMENDED GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>				ROXAS HOLDINGS, INC.			
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
		<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>	
		COMMON -----	2,000,000,000	1.00 -----		2,000,000,000.00	
		-----	-----	-----		-----	
		-----	-----	-----		-----	
<b>TOTAL</b>			<b>2,000,000,000</b>	<b>TOTAL P</b>		<b>2,000,000,000.00</b>	
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
		COMMON -----	1,023,903,953	254,207,734	1.00 -----	1,023,903,953.00	66.14
		less Treasury shares -----	17,643,480				
<b>TOTAL</b>			<b>1,041,547,433</b>	<b>TOTAL P</b>		<b>1,023,903,953.00</b>	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
Various -----		COMMON -----	524,031,846		1.00 -----	524,031,846.00	33.86
-----		-----	-----			-----	
-----		-----	-----			-----	
<b>Percentage of Foreign Equity : TOTAL</b>			<b>524,031,846</b>	<b>TOTAL P</b>		<b>524,031,846.00</b>	
<b>TOTAL SUBSCRIBED P</b>						<b>1,547,935,799.00</b>	
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	5	COMMON -----	1,023,903,953	1.00 -----		1,023,903,953.00	66.14
		-----	-----	-----		-----	
		-----	-----	-----		-----	
<b>TOTAL</b>			<b>1,023,903,953</b>	<b>TOTAL P</b>		<b>1,023,903,953.00</b>	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
-----		COMMON -----	524,031,846	1.00 -----		524,031,846.00	33.86
-----		-----	-----	-----		-----	
-----		-----	-----	-----		-----	
<b>33.85 % TOTAL</b>			<b>524,031,846</b>	<b>TOTAL P</b>			
<b>TOTAL PAID-UP P</b>						<b>1,547,935,799.00</b>	<b>100.00</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: ROXAS HOLDINGS, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PEDRO O. ROXAS 6 Ipil Road, South Forbes Park, Makati City	FILIPINO	Y	C	M	Y	Chairman	N/M	104-738-165
2. MANUEL V. PANGILINAN 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Salcedo Village, Makati City	FILIPINO	N	M	M	Y	Vice Chairman	C/C	914-481-801
3. RAY C. ESPINOSA Unit 25H, One McKinley Place, 28th Street, Crescent Park West, BGC, Taguig	FILIPINO	N	M	M	Y	N/A	N/A	113-248-118
4. ALEX ERLITO S. FIDER 18 19 & 17/Firs, Liberty Ctr. 104 H. Dela Costa St. Salcedo Village, Makati City	FILIPINO	N	M	M	Y	N/A	N/A	107-043-050
5. CHRISTOPHER H. YOUNG PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City	BRITISH	N	M	M	Y	N/A	A/M	178-235-537
6. OSCAR J. HILADO #32 Unit 308, Florante St, Brgy. Plainview, Mandaluyong City	FILIPINO	N	M	M	Y	N/A	A,C/M; N/C	123-535-799
7. ARLYN S. VILLANUEVA 9F 902 Antel Corporate Centre, 121 Valer St., Salcedo Village, Makati City	FILIPINO	N	M	F	Y	N/A	A/C	103-501-444-000
8. SANTIAGO T. GABIONZA, JR. 20/F 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City	FILIPINO	N	M	M	Y	N/A	N,C/M	106-915-999
9. CELSO T. DIMARUCUT 124 Nicanor Abelardo cor. Conchita Cruz Dr., BF Executive, Paranaque City	FILIPINO	N	M	M	Y	President & CEO	N/A	117-990-083
10. GEORGE T. CHEUNG 101 Fairwood St. McKinley Hill Village, Taguig	FILIPINO	N	N/A	M	N	EVP-Chief Comm. Officer/Chief Risk Officer	N/A	460-704-473
11. CYNTHIA L. DE LA PAZ #18 Capitol Golf Townhomes & Golfers Villas, Diliman, Quezon City	FILIPINO	N	N/A	F	N	Corporate Secretary	N/A	107-043-122
12. AIMEE E. PEDAYO 41 Sta. Monica, Kapitolyo, Pasig City	FILIPINO	N	N/A	F	N	Asst. Corporate Secretary	N/A	273-720-041-000
13. MA. HAZEL L. RABARA-RETARDO #21 Jalaur Triangle NIA Village, Quezon City	FILIPINO	N	N/A	F	N	VP - Head of Legal and Human Resources/ Compliance Officer/Governan ce Officer	N/A	214-216-562
14. VERONICA C. CORTEZ ACM Woodstock Homes, B39 L15, PH 3, Alapan I- A, Imus, Cavite	FILIPINO	N	N/A	F	N	VP-Head of Finance, Admin & Treasury/ Treasurer	N/A	217-875-713
15. JAYNEL R. SULANGI 7307 Marcelo Ave., Phase 3, Marcelo Green Vil. Paranaque City	FILIPINO	N	N/A	M	N	VP - ICT	N/A	407-471-477
16. JOSE MANUEL D. MAPA 12 Strawflower St., Lexington Garden Village, Pasig City	FILIPINO	N	N/A	M	N	VP-Cane Supply and Agri- Business	N/A	150-569-038
17. GIL M. MORALES 251 Bethany Street, Remelville Subdv., San Rafael II, Noveleta, Cavite	FILIPINO	N	N/A	M	N	VP - Plant Head (CADPI)	N/A	116-534-812
18. ALEXANDER A. PINO 24-A Cambodia Street, Better Living Subdivision, Paranaque City	FILIPINO	N	N/A	M	N	VP - Plant Head (SCBI)	N/A	195-778-661
19. NARCISO D. DIMAANO 9 Samonte St. Brgy. San Antonio, Quezon City	FILIPINO	N	N/A	M	N	VP - Group of Engineering and Tech. Services (CADPI & SCBI)	N/A	119-130-231



**AMENDED GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b>		ROXAS HOLDINGS, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		2,080		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES E</b> 1,856		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>				13,732,811,973.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. PCD NOMINEE CORPORATION Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	902,927,903.00	902,927,903.00	58.33%	902,927,903.00	000-138-757-000
	<b>TOTAL</b>	<b>902,927,903</b>	<b>902,927,903.00</b>			
2. PCD NOMINEE CORPORATION Non-Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	523,850,839	523,850,839	33.84%	523,850,839.00	000-599-760-000
	<b>TOTAL</b>	<b>523,850,839</b>	<b>523,850,839.00</b>			
3. ROXAS & COMPANY, INC. Filipino 7/F Cacho Gonzalez Building, 101 Aguirre, Legazpi Village, Makati, 1226 Metro Manila	C	99,624,995	99,624,995	6.44%	99,624,995.00	102-574-830
	<b>TOTAL</b>	<b>99,624,995</b>	<b>99,624,995.00</b>			
4. OTHERS (Indicate the number of the remaining stockholders)	C	21,532,062	21,532,062	1.39%	21,532,062.00	
	<b>TOTAL</b>	<b>21,532,062.00</b>	<b>21,532,062</b>			
5.	C					
	<b>TOTAL</b>		1.00			
6.	C					
	<b>TOTAL</b>					
7.						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			1,547,935,799	<b>100.00%</b>	<b>1,547,935,799.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ROXAS HOLDINGS, INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>0.00%</b>	<b>0.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						



**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		ROXAS HOLDINGS, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:				
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER-SHIP		
15.						
	<b>TOTAL</b>					
16.						
	<b>TOTAL</b>					
17.						
	<b>TOTAL</b>					
18.						
	<b>TOTAL</b>					
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. OTHERS (Indicate the number of the remaining stockholders)						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				0.00%	<b>0.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS HOLDINGS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	N/A	N/A	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
TOTAL	P	N/A	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	38 (including Senior Managers, Managers, Asst. Managers & Assoc. Managers)	5	43

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, AIMEE E. PEDAYO, Assistant Corporate Secretary of Roxas Holdings, Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

JUN 30 2022  
MAKATI CITY  
Done this \_\_\_\_\_ in \_\_\_\_\_

  
AIMEE E. PEDAYO  
(Signature over printed name)

MAKATI CITY JUN 30 2022

SUBSCRIBED AND SWORN TO before me in \_\_\_\_\_ on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of BIR TIN No. 273-720-041-000 issued at \_\_\_\_\_ on June 3, 2011.

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Page No. 32  
Book No. 39  
Series of 2022

ATTY. ROMEO M. MONFORT  
Notary Public City of Makati  
Extended Until Jan. 30, 2022  
Per BIR No. 3795  
PTR No. 88525 Jan. 3, 2022 Makati City  
Appointment No. M-133 (2020-2021)  
IBP No. 1362654- Jan. 3, 2018  
MCLE NO. VI-0923417 Rol. No. 27932  
101 Urban Ave. Campos Rueda Bldg.  
Brgy. Pio Del Pilar, Makati City

# BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: **2022**

**PW 15-A**

SEC REGISTRATION NUMBER:

CORPORATE NAME:

ROXAS HOLDINGS, INC.

## Instructions:

- Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
- Fill in the required information on the beneficial owner in the fields provided for.
- In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
- If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
- Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

## Category

## Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Pedro O. Roxas (through Roxas & Company, Inc.)	6 Ipil Road, South Forbes Park, Makati City	Filipino	19-Mar-56	104-738-165	20.56%	I & D	I (Chairman)
Manuel V. Pangilinan (through First Pacific Natural Resources Holdings BV)	10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati City	Filipino	14-Jul-48	914-481-801	30.22%	I & D	I (Vice Chairman)
Ray C. Espinosa (through First Pacific Natural Resources Holdings BV)	Unit 25H, One McKinley Place, 28th St., Crescent Park West, BGC, Taguig	Filipino	27-Apr-56	113-248-118	30.22%	I & D	I (Director)
Christopher H. Young (through First Pacific Natural Resources Holdings BV)	PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City	British	25-Jul-57	178-235-537	30.22%	I & D	I (Director)

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.



## ANNEX "A"

The Top Twenty (20) Holders of the common shares as of 31 March 2022 were:

	Stockholder	Nationality	Total No. of Shares	%
1.	PCD Nominee Corporation	Filipino	903,145,948	57.688
2.	PCD Nominee Corporation	Non-Filipino	523,751,839	33.454
3.	Roxas & Company, Inc.	Filipino	99,624,995	6.363
4.	Insular Life Assurance Co., LTD	Filipino	1,198,520	0.077
5.	Jose A. Manzano Jr.	Filipino	1,029,007	0.066
6.	Gilbert Liu	Filipino	1,014,000	0.065
7.	Emilio Pantoja	Filipino	785,078	0.050
8.	Armando M. Medina	Filipino	770,640	0.049
9.	Leonardo T. Siguion Reyna	Filipino	608,400	0.039
10.	Raul S. Roco	Filipino	486,720	0.031
11.	O. Ledesma & Co., Inc.	Filipino	446,160	0.028
12.	BMI Holding Corporation	Filipino	431,964	0.028
13.	Antonio G. Nieto	Filipino	367,450	0.023
14.	Corona Realty & Development Corp.	Filipino	365,040	0.023
15.	Lorna Perez Laurel	Filipino	354,900	0.023
16.	Abel Z. Silva III	Filipino	354,900	0.023
17.	Elaine Villar Rivilla	Filipino	346,788	0.022
18.	Rodolfo W. Antonino	Filipino	338,000	0.022
19.	Emma Lopez	Filipino	324,804	0.021
20.	Evelina M. Boongaling	Filipino	321,214	0.021
	Subtotal		1,536,066,367	98.116%
	Other Shareholders		13,649,222	1.884%
	<b>Grand Total</b>		<b>1,549,715,589</b>	<b>100%</b>



# PDTC PARTICIPANTS AS OF MARCH 31, 2022

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ROX000000000

Business Date: March 31, 2022

BPNAME	HOLDINGS
A & A SECURITIES, INC.	91,988
ABACUS SECURITIES CORPORATION	1,390,437
PHILSTOCKS FINANCIAL INC	2,120,718
A. T. DE CASTRO SECURITIES CORP.	18,713
ALPHA SECURITIES CORP.	37,214
BA SECURITIES, INC.	15,085
AP SECURITIES INCORPORATED	183,910
ANSALDO, GODINEZ & CO., INC.	261,281
AB CAPITAL SECURITIES, INC.	274,448
SB EQUITIES, INC.	6,505,938
ASIASEC EQUITIES, INC.	2,028
ASTRA SECURITIES CORPORATION	5,265,800
CHINA BANK SECURITIES CORPORATION	140,956
BELSON SECURITIES, INC.	2,807
BENJAMIN CO CA & CO., INC.	1,440
B. H. CHUA SECURITIES CORPORATION	13,512
JAKA SECURITIES CORP.	27,768
BPI SECURITIES CORPORATION	3,479,440
CAMPOS, LANUZA & COMPANY, INC.	157,629
CTS GLOBAL EQUITY GROUP, INC.	109,710
TRITON SECURITIES CORP.	95,344
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	216
IGC SECURITIES INC.	157,453
DAVID GO SECURITIES CORP.	641,252
DIVERSIFIED SECURITIES, INC.	40,000
E. CHUA CHIACO SECURITIES, INC.	1,874,499
EQUITABLE SECURITIES (PHILS.) INC.	612
EAST WEST CAPITAL CORPORATION	10,224
EASTERN SECURITIES DEVELOPMENT CORPORATION	791,768
EQUITIWORLD SECURITIES, INC.	34,952
EVERGREEN STOCK BROKERAGE & SEC., INC.	799,671
FIRST ORIENT SECURITIES, INC.	53,800
F. YAP SECURITIES, INC.	63,694
GLOBALINKS SECURITIES & STOCKS, INC.	61,348
JSG SECURITIES, INC.	60,560
GUILD SECURITIES, INC.	186,961
HDI SECURITIES, INC.	458,985
HK SECURITIES, INC.	131
I. ACKERMAN & CO., INC.	28
I. B. GIMENEZ SECURITIES, INC.	20,616
INVESTORS SECURITIES, INC.	305,500
IMPERIAL DE GUZMAN, ABALOS & CO., INC.	16,248
INTRA-INVEST SECURITIES, INC.	12,960
ASIAN CAPITAL EQUITIES, INC.	3,900
J.M. BARCELON & CO., INC.	28
VALUE QUEST SECURITIES CORPORATION	1,245,000
STRATEGIC EQUITIES CORP.	3,995,133



BPNAME	HOLDINGS
LOPEZ, LOCSIN, LEDESMA & CO., INC.	50,000
LUYS SECURITIES COMPANY, INC.	13,999
MANDARIN SECURITIES CORPORATION	221,880
MARINO OLONDRIZ Y CIA	1,404
COL Financial Group, Inc.	3,586,437
DA MARKET SECURITIES, INC.	80,000
MERCANTILE SECURITIES CORP.	31,600
MDR SECURITIES, INC.	338
REGIS PARTNERS, INC.	128,120
MOUNT PEAK SECURITIES, INC.	7,960
NEW WORLD SECURITIES CO., INC.	45,000
OPTIMUM SECURITIES CORPORATION	20,338
RCBC SECURITIES, INC.	72,126,189
PAN ASIA SECURITIES CORP.	45,000
PAPA SECURITIES CORPORATION	3,905,846
MAYBANK ATR KIM ENG SECURITIES, INC.	303,582,638
PLATINUM SECURITIES, INC.	2,028
PNB SECURITIES, INC.	153,750
PREMIUM SECURITIES, INC.	255,999
SALISBURY BKT SECURITIES CORPORATION	3,588
QUALITY INVESTMENTS & SECURITIES CORPORATION	734,778
R & L INVESTMENTS, INC.	80,630
R. COYUTO SECURITIES, INC.	358,148
REGINA CAPITAL DEVELOPMENT CORPORATION	110,452
R. NUBLA SECURITIES, INC.	4,056
R. S. LIM & CO., INC.	22,621
RTG & COMPANY, INC.	14,652
S.J. ROXAS & CO., INC.	350
FIDELITY SECURITIES, INC.	11,300
SUMMIT SECURITIES, INC.	76,233
TANSENGCO & CO., INC.	716,175
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	13,114
TOWER SECURITIES, INC.	904,678
APEX PHILIPPINES EQUITIES CORPORATION	112
TRENDLINE SECURITIES CORPORATION	9,996
UCPB SECURITIES, INC.	28,342
E.SECURITIES, INC.	17,160
VENTURE SECURITIES, INC.	12,680
FIRST METRO SECURITIES BROKERAGE CORP.	974,518,580
WEALTH SECURITIES, INC.	586,417
WESTLINK GLOBAL EQUITIES, INC.	155,306
YAO & ZIALCITA, INC.	51,000
YU & COMPANY, INC.	16,144
BDO SECURITIES CORPORATION	9,645,642
EAGLE EQUITIES, INC.	61,662
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	594,550
SOLAR SECURITIES, INC.	130,537
G.D. TAN & COMPANY, INC.	911,000
PHILIPPINE EQUITY PARTNERS, INC.	78,977
UNICAPITAL SECURITIES INC.	233,509
TIMSON SECURITIES, INC.	60,000
CITIBANK N.A.	6,084
RCBC TRUST & INVESTMENT DIVISION	50,797

BPNAME	HOLDINGS
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	7,632,277
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	14,255,998
CENTRAL AZUCARERA DE DON PEDRO	11
<b>TOTAL</b>	<b>1,426,897,787</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.