



103072017001632



# SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

## Barcode Page

The following document has been received:

**Receiving Officer/Encoder** : Buen Jose Mose - COS  
**Receiving Branch** : SEC Head Office  
**Receipt Date and Time** : March 07, 2017 01:56:39 PM  
**Received From** : Head Office

## Company Representative

---

Doc Source

## Company Information

---

**SEC Registration No.** PW0000015A  
**Company Name** ROXAS HOLDINGS, INC DOING BUSINESS UNDER THE N-AME AND STYLE OF CADP GROUP  
**Industry Classification**  
**Company Type** Stock Corporation

## Document Information

---

**Document ID** 103072017001632  
**Document Type** GENERAL INFORMATION SHEET  
**Document Code** GIS  
**Period Covered** February 15, 2017  
**No. of Days Late** 0  
**Department** CED/CFD/CRMD/MRD  
**Remarks**

P W - 1 5

R O X A S H O L D I N G S I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R  
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O  
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. FLORENCIO M. MAMAUAG, JR.

771-7800

General Information Sheet 2017

September 30  
Month Day  
Fiscal Year

Form Type

February 3<sup>rd</sup> Wed.  
Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2017

STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER THE ANNUAL MEETING.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ROXAS HOLDINGS, INC.		<b>DATE REGISTERED:</b> 31-Oct-30
<b>BUSINESS/TRADE NAME:</b> N/A		<b>FISCAL YEAR END:</b> September 30
<b>SEC REGISTRATION NUMBER:</b> PW-15		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-290-538-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Every 3rd Wednesday of February of each year		<b>WEBSITE/URL ADDRESS:</b> www.roxasholdings.com.ph
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 15-Feb-17		<b>E-MAIL ADDRESS:</b>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 14th Floor, Net One Center, 26th cor. 3rd Avenue, Bonifacio Global City, Taguig, Metro Manila		<b>FAX NUMBER:</b> 771-7820 / 771-7821
<b>COMPLETE BUSINESS ADDRESS:</b> 14th Floor, Net One Center, 26th cor. 3rd Avenue, Bonifacio Global City, Taguig, Metro Manila		<b>TELEPHONE NUMBER(S):</b> 771-7800 to 05
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> SGV & Co. / Aileen L. Saringan	<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0096-AR-4 (Group A)	<b>GEOGRAPHICAL CODE:</b> N/A
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Holding and Investment Company	<b>INDUSTRY CLASSIFICATION:</b> N/A	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
FIRST AGRI HOLDINGS CORPORATION	N/A	10F MGO BUILDING, LEGASPI CORNER DELA ROSA ST., MAKATI CITY
FP NATURAL RESOURCES HOLDINGS BV	N/A	PRINS BERNHARDPLEIN 200, 1097 JB AMSTERDAM, THE NETHERLANDS
ROXAS & COMPANY, INC.	PW-834	7F CG BLDG., 101 AGUIRRE ST. LEGASPI VILL., MAKATI CITY
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
CENTRAL AZUCARERA DE LA CARLOTA, INC.	CS200253516	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
ROXOL BIOENERGY CORPORATION	CS200803267	Brgy. Nagasi, La Carlota City, Negros Occidental
SAN CARLOS BIOENERGY, INC.	CS200508967	zone, Barangays Palampas and Punao, San Carlos City, Negros Occidental
NAJALIN AGRI-VENTURES, INC.	76154	CAC Compund, La Carlota City, Negros Occidental
CADP INSURANCE AGENCY, INC.	A199709049	6F, CG Bldg. 101 Aguirre St., Legaspi Village, Makati City
CADP PORT SERVICES, INC.	CS200811208	Brgy. Lumbangan, Nasugbu, Batangas
ROXAS POWER CORPORATION	CS200810985	Units 1&2 Landing, JP Laurel St., Nasugbu Batangas
RHI AGRI-BUSINESS DEVELOPMENT CORPORATION	CS201503434	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig
ROXAS PACIFIC BIOENERGY CORPORATION	CS201502639	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig

NOTE: USE ADDITIONAL SHEET IF NECESSARY

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name: Roxas Holdings, Inc.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       Yes       No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>Describe nature of business:</b></p>	

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its**       Yes       No

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: ROXAS HOLDINGS, INC.**

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	1,500,000,000	1.00	1,500,000,000.00
<b>TOTAL</b>		<b>1,500,000,000</b>	<b>TOTAL P</b>	<b>1,500,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	1,043,007,179	253,228,625	1.00	1,043,007,179.00	72.46%
<b>TOTAL</b>			<b>1,043,007,179</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,043,007,179.00</b>	<b>72.46%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	396,434,982	253,228,625	1.00	396,434,982.00	27.54
<b>Percentage of Foreign Equity :</b>		<b>TOTAL</b>	<b>396,434,982</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>396,434,982.00</b>	<b>27.54</b>
<b>TOTAL SUBSCRIBED P</b>						<b>1,439,442,161.00</b>	

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,043,007,179	1.00	1,043,007,179.00	72.46
<b>TOTAL</b>			<b>1,043,007,179</b>	<b>TOTAL P</b>	<b>1,043,007,179.00</b>	<b>72.46</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	396,434,982	1.00	396,424,982.00	27.54
<b>TOTAL</b>			<b>396,434,982</b>	<b>TOTAL P</b>	<b>396,424,982.00</b>	
<b>TOTAL PAID-UP P</b>					<b>1,439,442,161.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: <b>ROXAS HOLDINGS, INC.</b>						
DIRECTORS / OFFICERS						
NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. PEDRO E. ROXAS FILIPINO	N	C	M	Y	CHAIRMAN	E/C /CG/M
2. MANUEL V. PANGILINAN FILIPINO	N	M	M	Y	VICE CHAIRMAN	M /C/C
3. SANTIAGO R. ELIZALDE FILIPINO	N	M	M	Y	N/A	C/M
4. HUBERT D. TUBIO FILIPINO	N	M	M	Y	PRESIDENT/CEO	N/A
5. RAY C. ESPINOSA FILIPINO	N	M	M	Y	N/A	N/A
6. ALEX ERLITO S. FIDER FILIPINO	N	M	M	Y	N/A	N/A
7. CHRISTOPHER H. YOUNG FILIPINO	N	M	M	Y	N/A	A/M
8. DAVID L. BALANGUE FILIPINO	N	I	M	Y	N/A	A/C E/M
9. OSCAR J. HILADO FILIPINO	N	I	M	Y	N/A	A/M CG/C C/M
10. CYNTHIA L. DELA PAZ FILIPINO	N	N/A	F	N	CORPORATE SECRETARY	N/A
11. ARCADIO S. LOZADA, JR. FILIPINO	N	N/A	M	N	EVP - COO SUGAR SBU	N/A
12. CELSO T. DIMARUCUT FILIPINO	N	N/A	M	N	EVP - CFO TREASURER	N/A
13. LUIS O. VILLA-ABRILLE FILIPINO	N	N/A	M	Y	EVP - COO BIOETHANOL SBU	N/A
14. GEORGE T. CHEUNG FILIPINO	N	N/A	M	N	SVP - COMMERCIAL OPERATIONS	N/A
15. FLORENCIO M. MAMAUAG, JR. FILIPINO	N	N/A	M	N	ASST. CORP SEC/ COMP. OFFICER	N/A
16. JOSE ROJO G. ALISLA FILIPINO	N	N/A	M	N	VP GM - ADC/PLANTATION	N/A
17. FREDERICK E. REYES FILIPINO	N	N/A	M	N	VP - HUMAN RESOURCE	N/A
18. PAUL EDWIN V. LAZARO FILIPINO	N	N/A	M	N	AVP - INTERNAL AUDIT	N/A
19. KATHRINA L. SEBASTIAN FILIPINO	N	N/A	F	N	AVP - TREASURY; CHIEF RISK & CREDIT OFFICER	N/A
20. VERONICA S. CANELA-CORTEZ FILIPINO	N	N/A	F	N	AVP - FINANCE HEAD	N/A
21. DAISY PERPETUA A. BO FILIPINO	N	N/A	F	N	AVP - QA/SAFETY/EMS/IE	N/A
21. MINRADO R. ROÑO FILIPINO	N	N/A	M	N	VP & GROUP AGRIBUSINESS ADVISOR	N/A
22. MA. HAZEL L. RABARA-RETARDO FILIPINO	N	N/A	F	N	ASST. CORP. SEC /AVP - GOVERNANCE & DEPUTY COMPLIANCE OFFICER	N/A
23. SERVILLANO JOSE I. DUNGLAO FILIPINO	N	N/A	M	N	VP - ADMINISTRATIVE SERVICES	N/A
24. FERNANDO H. CORPUZ FILIPINO	N	N/A	M	N	VP GM - CADPI	N/A
25. ESTEBAN H. COSCOLLUELA FILIPINO	N	N/A	M	N	VP GM - CACI	N/A

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ROXAS HOLDINGS, INC.</b>			
TOTAL NUMBER OF STOCKHOLDERS: <b>2,121</b>		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>1,900</b>	
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		PhP <b>21,658,000</b>	

**STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
PDCD NOMINEE CORPORATION FILIPINO	C	645,914,825	645,914,825.00	44.87%	645,914,825.00
	<b>TOTAL</b>	<b>645,914,825</b>	<b>645,914,825.00</b>		
ROXAS & COMPANY FILIPINO	C	318,341,705	318,341,705.00	22.12%	318,341,705.00
	<b>TOTAL</b>	<b>318,341,705</b>	<b>318,341,705.00</b>		
FIRST PACIFIC NATURAL RESOURCES NETHERLANDS	C	309,197,760	309,197,760.00	21.48%	309,197,760.00
	<b>TOTAL</b>	<b>309,197,760</b>	<b>309,197,760.00</b>		
PDCD NOMINEE CORPORATION NON-FILIPINO	C	87,007,214	87,007,214.00	6.05%	87,007,214.00
	<b>TOTAL</b>	<b>87,007,214</b>	<b>87,007,214.00</b>		
MALAYAN COMMERCIAL BANKING CORP FILIPINO	C	34,476,000	34,476,000.00	2.40%	34,476,000.00
	<b>TOTAL</b>	<b>34,476,000</b>	<b>34,476,000.00</b>		
MALAYAN MALAYAN MGMT. FILIPINO	C	3,817,932	3,817,932.00	0.27%	3,817,932.00
	<b>TOTAL</b>	<b>3,817,932</b>	<b>3,817,932.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>1,398,755,436.00</b>	<b>97.17%</b>	<b>1,398,755,436.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

*For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ROXAS HOLDINGS, INC.</b>	
NUMBER OF STOCKHOLDERS: <b>2,121</b>	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>1,900</b>
NET ASSETS BASED ON LATEST AUDITED FS: <b>PhP21,658,000</b>	

**STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
INSULAR LIFE ASSURANCE CO., LTD. FILIPINO	C	1,198,520	1,198,520.00	0.08%	1,198,520.00
	TOTAL		1,198,520.00		
ROSE A. MANZANO, JR. FILIPINO	C	1,029,007	1,029,007.00	0.71%	1,029,007.00
	TOTAL		1,029,007.00		
GILBERT LIU FILIPINO	C	1,014,000	1,014,000.00	0.07%	1,014,000.00
	TOTAL		1,014,000.00		
MILIO PANTOJA FILIPINO	C	785,078	785,078.00	0.06%	785,078.00
	TOTAL		785,078.00		
HERMANDO M. MEDINA FILIPINO	C	770,640	770,640.00	0.05%	770,640.00
	TOTAL		770,640.00		
EDUARDO T. SIGUION REYNA	C	608,400	608,400.00	0.04%	608,400.00
	TOTAL		608,400.00		
RAUL S. ROCO	C	486,720	486,720.00	0.03%	486,720.00
	TOTAL		486,720.00		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>5,892,365</b>	<b>1.05%</b>	<b>5,892,365.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		<b>ROXAS HOLDINGS, INC.</b>			
TOTAL NUMBER OF STOCKHOLDERS:	<b>2,121</b>	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	<b>1,900</b>		
TOTAL ASSETS BASED ON LATEST AUDITED FS:	<b>PhP21,658,000</b>				
STOCKHOLDER'S INFORMATION					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
15. O. LEDESMA & CO., INC. FILIPINO	C	446,160	446,160.00	0.03%	446,160.00
	<b>TOTAL</b>	<b>446,160</b>	<b>446,160.00</b>		
16. BMI HOLDINGS CORPORATION FILIPINO	C	431,964	431,964.00	0.03%	431,964.00
	<b>TOTAL</b>	<b>431,964</b>	<b>431,964.00</b>		
17. ANTONIO G. NIETO FILIPINO	C	367,450	367,450.00	0.03%	367,450.00
	<b>TOTAL</b>	<b>367,450</b>	<b>367,450.00</b>		
18. CORONA REALTY & DEVELOPMENT CORP. FILIPINO	C	365,040	365,040.00	0.03%	365,040.00
	<b>TOTAL</b>	<b>365,040</b>	<b>365,040.00</b>		
19. LORNA PEREZ LAUREL FILIPINO	C	354,900	354,900.00	0.03%	354,900.00
	<b>TOTAL</b>	<b>354,900</b>	<b>354,900.00</b>		
20. ABEL Z. SILVA III FILIPINO	C	354,900	354,900.00	0.03%	354,900.00
	<b>TOTAL</b>	<b>354,900</b>	<b>354,900.00</b>		
21. OTHERS (Indicate the number of the remaining stockholders)				1.62%	14,830,466.00
	<b>TOTAL</b>				
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>1.78%</b>	<b>17,150,880.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME: ROXAS HOLDINGS, INC.</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	N/A	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	N/A	N/A	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
N/A	N/A	N/A	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
17,643,480	17,643,480	1.23	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
<b>TOTAL</b>	<b>PhP</b>	<b>N/A</b>	
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	N/A	N/A	N/A
<b>DATE ISSUED:</b>			
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
N/A	18 (including Senior Managers, Managers and Asst. Managers)	53	139

NOTE: USE ADDITIONAL SHEET IF NECESSARY

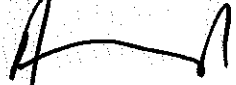
I, FLORENCIO M. MAMAUAG, JR. ASST. CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Name) (Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF ELEVEN 11 PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.


I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE 3<sup>th</sup> DAY OF March, 2017 IN Makati City



(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN Makati CITY/PROVINCE, PHILIPPINES ON 03 March 2017, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT EVIDENCE OF Passport No. EC2962215 ISSUED AT DFA NCR Northeast ON 12 December 2014



**MACIAZELL L. RABARA**  
Notary Public for Makati City  
Appointment No. M-388 (2016-2017)  
Commission Expires on December 31, 2017

17<sup>th</sup> Floor, Phibam Life Tower, 8767 Paseo de Roxas, Makati City  
PTR No.: A-3314663 / dt. 26.12.17 / Quezon City  
IBP No. 11070761 / dt. 27.12.17 / Quezon City  
Roll No. 52133

DOC. NO.: 488  
PAGE NO.: 99  
BOOK NO.: 01  
SERIES OF: 2017

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

**NOT FOR UPLOADING**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: ROXAS HOLDINGS, INC.**

**LIST OF STOCKHOLDERS, DIRECTORS AND OFFICERS**

**TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
1. PEDRO E. ROXAS 7F CG Bldg., Legaspi Village, Makati City	Filipino	Chairman	104-738-165
2. MANUEL V. PANGILINAN PLDT MGO Bldg., Dela Rosa corner Legaspi St., Makati City	Filipino	Vice-Chairman	914-481-801
3. SANTIAGO R. ELIZALDE 7F CG Bldg., Legaspi Village, Makati City	Filipino	M	100-349-993
4. HUBERT D. TUBIO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	M/ President/CEO	102-574-830
5. RAY C. ESPINOSA Unit 25H, One Mckinley Place, 28th Street, Crescent Park West, BGC, Taguig	Filipino	M	113-248-118
6. ALEX ERLITO S. FIDER 18 19 & 17/Firs. Liberty Ctr. 104 H.V. Dela Costa St., Salcedo Village, Makati City	Filipino	M	107-043-050
7. CHRISTOPHER H. YOUNG PLDT 12th Floor, Ramon Cojuanco Bldg., Makati Ave., Makati City	Filipino	M	178-235-537
8. DAVID L. BALANGUE 32 Limasawa St., Magallanes Village, Makati City	Filipino	I	123-304-976
9. OSCAR J. HILADO Penthouse Phinma Plaza, 39 Plaza Drive, Rockwell Drive, Makati City	Filipino	I	123-535-799
10. CYNTHIA L. DELA PAZ #16 Capitol Golf Townhomes & Golfers Villas, Dilliman, Quezon City	Filipino	Corporate Secretary	107-043-122
11. ARCADIO S. LOZADA, JR. 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	EVP-COO Sugar SBU	104-240-170
12. CELSO T. DIMARUCUT 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	EVP-CFO Treasurer	117-990-083
13. LUIS O. VILLA-ABRILLE 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	EVP-COO Bioethanol SBU	115-600-277
14. GEORGE T. CHEUNG 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	SVP Commercial Operations	460-704-473
15. FLORENCIO M. MAMAUAG, JR. 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	Asst. Corp. Sec. / VP - Legal & Corp. Affairs / Compliance Officer	136-901-508
16. JOSE ROJO G. ALISLA 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP GM - ADC/Plantation	136-045-716
17. FREDERICK E. REYES 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP - Human Resource	101-847-858
18. PAUL EDWIN V. LAZARO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	AVP - Internal Audit	205-925-704

**INSTRUCTION:**

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director; and/or  
Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or "S" for Stockholder

**NOTE: USE ADDITIONAL SHEET IF NECESSARY**

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

**NOT FOR UPLOADING**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: ROXAS HOLDINGS, INC.**

**LIST OF STOCKHOLDERS, DIRECTORS AND OFFICERS**

**TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
19. KATHRINA L. SEBASTIAN 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	AVP - Treasury; Chief Risk & Credit Officer	104-738-165
20. VERONICA C. CORTEZ PLDT MGO Bldg., Dela Rosa corner Legaspi St., Makati City	Filipino	AVP - Finance Head	217-875-713
21. MINRADO R. ROÑO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP & Group Agribusiness Advisor	141-803-914
22. DAISY PERPETUA A. BO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	AVP - QA/Safety/EMS/IE	100-905-266
23. MA. HAZEL RABARA-RETARDO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	Asst. Corp. Sec. / AVP - Governance & Deputy Comp. Officer / Asst. Corp.	214-216-562
24. SERVILLA JOSE I. DUNGLAO 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP - Administrative Services	137-225-884
25. FERNANDO H. CORPUZ 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP GM - CADPI	122-902-771
26. ESTEBAN H. COSCOLLUELA 14F Net One Center, 26th corner 3rd Ave., Bonifacio Global City, Taguig	Filipino	VP GM - CACI	121-345-483
27. PCD NOMINEE CORPORATION 37F Tower 1, The Enterprise Centre, Ayala Ave., Makati City	Filipino	S	000-138-757-000
28. ROXAS & COMPANY 7F CG Bldg., Legaspi Village, Makati City	Filipino	S	000-138-757-000
29. FP NATURAL RESOURCES HOLDINGS BV Prins Bernhardplein 200, 1097 JB Amsterdam, The Netherlands	Netherlands	S	Not on File
30. PCD NOMINEE CORPORATION Penthouse Phinma Plaza, 39 Plaza Drive, Rockwell Drive, Makati City	Non-Filipino	S	000-599-760-000
31. RIZAL COMMERCIAL BANKING CORP. 12F Yuchengco Tower, RCBC Plaza	Filipino	S	000-599-760-000
32. PAN MALAYAN MGMT. & INVESTMENT CORP 10F Grepalife Bldg., 221 Gil Puyat Ave., Makati City	Filipino	S	321-000-137-755
33. INSULAR LIFE ASSURANCE CO. LTD. Insular Life Bldg., 6781 Ayala Ave., Makati City	Filipino	S	Not on File
34. JOSE A. MANZANO, JR. No. 212 Bulusan St. Ayala Alabang Village, Muntinlupa City	Filipino	S	Not on File
35. GILBERT LIU 39 Maranaw St., La Vista Subdivision, Katipunan Ave., Quezon City	Filipino	S	Not on File
36. EAST WEST BANKING CORPORATION 20/F PBCOM Tower, 6795 Ayala Ave., cor. Rufino Ave., Makati City	Filipino	S	003-921-057-000

**INSTRUCTION:**

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director, and/or  
Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or "S" for Stockholder

**NOTE: USE ADDITIONAL SHEET IF NECESSARY**

