



101212016002392

**SECURITIES AND EXCHANGE COMMISSION**

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**Company Information**

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**SEC Registration No.** PW0000015A

**Company Name** ROXAS HOLDINGS, INC DOING BUSINESS UNDER THE NAME AND STYLE OF CADP GROUP

**Industry Classification**

**Company Type** Stock Corporation

**Document Information**

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**Remarks** Amended

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R O X A S H O L D I N G S , I N C .

(Company's Full Name)

6 F C G B U I L D I N G , 1 0 1 A G U I R R E  
S T R E E T , L E G A S P I V I L L A G E  
M A K A T I C I T Y

(Business Address: No. of Street City/Town/Province)

ATTY. FLORENCIO M. MAMAUAG, JR.

810-8901

Amended General Information Sheet 2015

September 30  
Month Day  
Fiscal Year

Form Type

Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

2,138

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



**AMENDED GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2015  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ROXAS HOLDINGS INC.</b>		DATE REGISTERED: <b>31-Oct-1930</b>
BUSINESS/TRADE NAME:		FISCAL YEAR END:
SEC REGISTRATION NUMBER: <b>PW-15</b>		<b>September 30</b>
DATE OF ANNUAL MEETING PER BY-LAWS: EVERY THIRD WEDNESDAY OF FEBRUARY OF EACH YEAR		CORPORATE TAX IDENTIFICATION 000-290-558-000
ACTUAL DATE OF ANNUAL MEETING: <b>18 FEBRUARY 2015</b>		WEBSITE/URL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: <b>6F CACHO GONZALES BUILDING, 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY</b>		E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS:		FAX NUMBER: <b>8171875</b>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <b>REYES TACANDONG &amp; CO. / PROTACIO T. TACANDONG</b>	SEC ACCREDITATION NUMBER (if applicable): <b>0207-F</b>	TELEPHONE NUMBER(S): <b>8108901-06</b>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <b>HOLDING AND INVESTMENT COMPANY</b>	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
ROXAS & COMPANY, INC.	PW-534	7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CENTRAL AZUCARERA DE LA CARLOTA, INC.	CS200253516	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
ROXOL BIOENERGY CORPORATION	CS200803267	BRGY. NAGASI, LA CARLOTA CITY, NEGROS OCCIDENTAL
NAJALIN AGRI-VENTURES, INC.	76154	CAC COMPOUND LA CARLOTA CITY NEGROS OCCIDENTAL
CADP INSURANCE AGENCY, INC.	A199709049	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CADP PORT SERVICES, INC.	CS200811208	BRGY. LUMBANGAN, NASLGBU, BATANGAS
ROXAS POWER CORPORATION	CS200810985	UNITS 1&2 LANDING, JP LAUREL ST., NASLGBU, BATANGAS
RHI AGRI-BUSINESS DEVELOPMENT CORPORATION	CS201503434	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
ROXAS PACIFIC BIOENERGY CORPORATION	CS201502639	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
SAN CARLOS BIOENERGY, INC.	CS200508967	EMERALD ARCADE BUILDING, F.C. LEDESMA AVENUE, SAN CARLOS CITY, NEGROS OCCIDENTAL

NOTE: USE ADDITIONAL SHEET IF NECESSARY



# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

ROXAS HOLDINGS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes

☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

SUGAR MANUFACTURING AND REFINING

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes

☒ No



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ROXAS HOLDINGS, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	1,500,000,000	1.00	1,500,000,000.00			
TOTAL		1,500,000,000	TOTAL P	1,500,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	826,899,783	450,580,282	1.00	826,899,783.00	71.82%
VARIOUS							
TOTAL		826,899,783	TOTAL		TOTAL P	826,899,783.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	324,745,621	450,580,282	1.00	324,745,621.00	28.21%
Percentage of Foreign Equity :		TOTAL	324,745,621	TOTAL		TOTAL P	324,745,621.00
				TOTAL SUBSCRIBED	P	1,151,645,404.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	8	COMMON	826,899,783	1.00	826,899,783.00	59%	
		additional paid in capital			574,912,152.00		
TOTAL		826,899,783	TOTAL	P	1,401,811,935.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
0.00 %		TOTAL		TOTAL	P		
				TOTAL PAID-UP	P	1,401,811,935.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **ROXAS HOLDINGS, INC.**

## DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
1. PEDRO E. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	Y	C	M	Y	CHAIRMAN	C	104-738-165
2. MANUEL V. PANGILINAN/FILIPINO PLDT MGO BLDG, DELA ROSA CORNER, LEGASPI ST., MAKATI CITY	N	M	M	N	VICE CHAIRMAN	C/C	914-481-801
3. SANTIAGO R. ELIZALDE/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	Y	M	M	Y	N/A	N/A	100-349-993
4. HUBERT D. TUBIO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	N	PRESIDENT/ CEO	N/A	102-574-830
5. ARCADIO S. LOZADA, JR./FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	EVP OPERATIONS	N/A	104-240-170
6. CELSO T. DIMARUCUT/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	EVP CFO TREASURER	N/A	117-990-083
7. LUIS O. VILLA-ABRILLE/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	PRES/COO, CACI, SCBI	N/A	115-600-277
8. JESSELYN P. PANIS/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	F	N	SVP QA/SAFETY/ BRANDING	N/A	119-173-918
9. GEORGE T. CHEUNG/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	SVP COMMERCIAL OPERATIONS	N/A	460-704-473
10. FLORENCIO M. MAMAUAG, JR./FILIPINO 8F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP LEGAL, COMP. ASST. CORP SEC	N/A	136-901-508
11. JOSE ROJO G. ALISLA/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP AGRO INDUSTRIAL R/D	N/A	136-045-716
12. WILFREDO OSCAR ONGLAO/FILIPINO 6F CG BLDG., 101 AGUIRRE	N	N/A	M	N	VP DEPUTY TO THE PCOO CADP	N/A	125-688-203
13. FREDERICK E. REYES 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP HR, ADMIN. & CSR	N/A	101-847-858
14. PAUL EDWIN V. LAZARO/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	AVP INTERNAL AUDIT	N/A	205-925-704
15. KATHRINA L. SEBASTIAN 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	F	N	AVP TREASURY, CRO	N/A	199-265-786

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GIS STOCK (v. 2013)

## AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

ROXAS HOLDINGS, INC.

## DIRECTORS / OFFICERS

[illegible]

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

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FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

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# ANNEXED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **ROXAS HOLDINGS, INC.**

TOTAL NUMBER OF STOCKHOLDERS: **2,138**

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

**1,918**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php 7,800,633,390**

## STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP		
1. PCD NOMINEE CORPORATION FILIPINO 37F TOWER 1, THE ENTERPRISE CENTRE, AYALA AVE., MAKATI	C	445,747,616	445,747,616.00	38.72%	445,747,616.00	NOT ON FILE
	TOTAL	445,747,616	445,747,616.00			
2. ROXAS & COMPANY, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	318,341,705	318,341,705.00	27.65%	318,341,705.00	000-138-757-000
	TOTAL	318,341,705	318,341,705.00			
3. FP NATURAL RESOURCES HOLDINGS BV NETHERLANDS PRINS BERNHARDPLEIN 200, 1097 JB AMSTERDAM, THE NETHERLANDS	C	309,197,760	309,197,760.00	26.86%	309,197,760.00	NOT ON FILE
	TOTAL	309,197,760	309,197,760.00			
4. RIZAL COMMERCIAL BANKING CORP FILIPINO 12F YUCHENGCO TOWER, RCBC PLAZA	C	34,476,000	34,476,000.00	2.95%	34,476,000.00	000-599-760-000
	TOTAL	34,476,000	34,476,000.00			
5. PCD NOMINEE CORPORATION NON-FILIPINO 37F TOWER 1, THE ENTERPRISE CENTRE, AYALA AVE., MAKATI	C	15,319,878	15,319,878.00	1.33%	15,319,878.00	NOT ON FILE
	TOTAL	15,319,878	15,319,878.00			
6. PAN MALAYAN MGMT. & INVESTMENT CORP FILIPINO 10F GREPALIFE BLDG., 221 GIL PUYAT AVE., MAKATI CITY	C	3,817,932	3,817,932.00	0.33%	3,817,932.00	321-000-137-755
	TOTAL	3,817,932	3,817,932.00			
7. INSULAR LIFE ASSURANCE CO., LTD. FILIPINO INSULAR LIFE BLDG., 6781 AYALA AVE., MAKATI CITY	C	1,198,520	1,198,520.00	0.10%	1,198,520.00	NOT ON FILE
	TOTAL	1,198,520	1,198,520.00			
8. JOSE A. MANZANO, JR. FILIPINO NO. 212 BULUSAN ST., AYALA ALABANG VILL. MUNTINLUPA CITY	C	1,029,007	1,029,007.00	0.09%	1,029,007.00	NOT ON FILE
	TOTAL	1,029,007	1,029,007.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,129,128,418.00	59.36%	1,129,128,418.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For POC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.  
Attach separate sheet, if necessary.

GIS STOCK (v.2013)



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ROXAS HOLDINGS, INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		2,138		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		1,918
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		Php 7,230,398,517				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP		
9. GILBERT LIU FILIPINO 39 MARANAW ST., LA VISTA SUBD. KATIPUNAN AVE., QUEZON CITY	C	1,014,000	1,014,000.00	0.09%	1,014,000.00	NOT ON FILE
	TOTAL	1,014,000	1,014,000.00			
10. EAST WEST BANKING CORPORATION FILIPINO 20/F PBCOM TOWER, 3795 AYALA AVE. COR. RUFINO AVE., MAKATI CITY	C	914,290	914,290.00	0.08%	914,290.00	003-921-057-000
	TOTAL	914,290	914,290.00			
11. EMILIO PANTOJA FILIPINO Q. LEJANO ST., LIAN, BATANGAS	C	785,078	785,078.00	0.07%	785,078.00	NOT ON FILE
	TOTAL	785,078	785,078.00			
12. ARMANDO M. MEDINA FILIPINO 17-8 DONA AURORA ST., TAHANAN VILLAGE, PARANAQUE CITY	C	770,640	770,640.00	0.07%	770,640.00	NOT ON FILE
	TOTAL	770,640	770,640.00			
13. LEONARDO T. SIGUION-REYNA FILIPINO PHILCOM BLDG. 8755 PASEO DE ROXAS, MAKATI MM	C	608,400	608,400.00	0.05%	608,400.00	NOT ON FILE
	TOTAL	608,400	608,400.00			
14. RAUL S. ROCO FILIPINO  16/F STRATA 200 BLDG., EMERALD AVE ORTIGAS CENTER, PASIG	C	486,720	486,720.00	0.04%	486,720.00	NOT ON FILE
	TOTAL	486,720	486,720.00			
15. O. LEDESMA & CO. INC. RM. 203 LEDESMA BUILDING, INTRAMUROS, MANILA	C	446,160	446,160.00	0.04%	446,160.00	003-426-347
	TOTAL	446,160	446,160.00			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>5,025,288.00</b>	<b>0.44%</b>	<b>5,025,288.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

## STOCK CORPORATION

PLEASE PRINT LEGIBLY

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



# AMENDED GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ROXAS HOLDINGS, INC.</b>						
TOTAL NUMBER OF STOCKHOLDERS:		2,138		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1,918
TOTAL ASSETS BASED ON LATEST AUDITED FS:		Php 7,800,633,390				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,151,645,404	100%	1,151,645,404.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

# AML-DED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS HOLDINGS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	259,907,466.00	16-Oct-08	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A		
1.5 OTHERS	N/A		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
N/A	17,643,480	1.51%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	0.12 per share	6-Aug-14	
5.2 CASH	0.12 per share	5-Dec-14	
5.3 PROPERTY	N/A		
TOTAL		P	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS  63 (including s. managers, managers & asst managers)	TOTAL NO. OF RANK & FILE EMPLOYEES  36	TOTAL MANPOWER COMPLEMENT  99

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, FLORENCIO M. MAMAUAG, JR.  
(Name)

ASSISTANT CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Position)


DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF 1 ( ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS \_\_\_\_\_ DAY OF JAN 21, 2016 IN MAKATI CITY

  
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY/PROVINCE, PHILIPPINES ON JAN 21 2016  
AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT EVIDENCE OF IDENTITY Passport No. EC2962215 ISSUED AT DFA NCR Northeast ON December 12, 2014

  
**ALEZANDRO S. CASABAR**  
Appointment No. M-398  
Notary Public for Makati City  
Until December 31, 2016  
7<sup>th</sup> Floor CG Building, 101 Aguirre Street  
Legaspi Village, Makati City  
Roll of Attorneys No. 55665  
PTR No. 534708/1-R Makati City  
IBP LRN No. 07519/04-11-08/Makati Chapter  
MCLE Compliance No. IV-0013193/03-11-13

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