



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: Francisco Raba

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Company Information

SEC Registration No.: PW0000015A

Company Name: ROXAS HOLDINGS, INC DOING BUSINESS UNDER THE NAME AND STYLE OF CADP GROUP

Industry Classification: D15790

Company Type: Stock Corporation

Document Information

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P W 1 5 - A

R O X A S H O L D I N G S , I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. AIMEE E. PEDAYO

8771-7800

2023

GENERAL INFORMATION SHEET

March 22nd Wed.

September 30
Month Day
Fiscal Year

Form Type

Month Day
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

GENERAL INFORMATION SHEET (GIS) FOR THE YEAR 2023 STOCK CORPORATION			
GENERAL INSTRUCTIONS: 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED. 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <div style="text-align: center;">ROXAS HOLDINGS, INC.</div>			DATE REGISTERED: <div style="text-align: center;">31-Oct-30</div>
BUSINESS/TRADE NAME: <div style="text-align: center;">N/A</div>			FISCAL YEAR END: <div style="text-align: center;">September 30</div>
SEC REGISTRATION NUMBER: <div style="text-align: center;">PW 15-A</div>			
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">Every 3rd Wednesday of March</div>			CORPORATE TAX IDENTIFICATION NUMBER (TIN) <div style="text-align: center;">000-290-538-000</div>
ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">March 22, 2023</div>			WEBSITE/URL ADDRESS: <div style="text-align: center;">N/A</div>
COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">14F Net One Center, 26th st. cor. 3rd Ave. Bonifacio Global City, Taguig, Metro Manila</div>			E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS: 			FAX NUMBER:
OFFICIAL E-MAIL ADDRESS <div style="text-align: center;">corporatesecretary@rhi.com.ph</div>	ALTERNATE E-MAIL ADDRESS <div style="text-align: center;">info@rhi.com.ph</div>	OFFICIAL MOBILE NUMBER <div style="text-align: center;">09985914710</div>	ALTERNATE MOBILE NUMBER <div style="text-align: center;">09985995941</div>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <div style="text-align: center;">SGV & Co. / Aileen L. Saringan</div>		SEC ACCREDITATION NUMBER (if applicable): <div style="text-align: center;">0096-AR-4 (Group A)</div>	TELEPHONE NUMBER(S): <div style="text-align: center;">8771-7800 to 05</div>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <div style="text-align: center;">To engage in the business of producing, marketing and trading of sugar, molasses, etc.</div>		INDUSTRY CLASSIFICATION: <div style="text-align: center;">N/A</div>	GEOGRAPHICAL CODE:
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig	
SAN CARLOS BIOENERGY, INC.	CS200508967	San Carlos Ecozone, Brgys. Palampas and Punao, San Carlos City, Negros Occidental	
ROXAS POWER CORPORATION	CS200810985	Units 1 & 2 Landing, JP Laurel St., Nasugbu, Batangas	
RHI PACIFIC COMMERCIAL CORP.	CS201517914	14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig	
NORTHEASTERN PORT AND STORAGE CORPORATION	CS200728594	Emerald Arcade City Center, FC Ladesma Avenue, San Carlos City, Negros Occidental	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

GENERAL INFORMATION SHEET STOCK CORPORATION ===== PLEASE PRINT LEGIBLY =====		
Corporate Name: ROXAS HOLDINGS, INC.		
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input type="radio"/> Yes <input checked="" type="radio"/> No 		
Please check the appropriate box:		
1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 6. Company service providers which, as a business, provide any of the following services to third parties: </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 7. Persons who provide any of the following services: </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 8. <input type="checkbox"/> None of the above </div> <div style="display: flex; border: 1px solid black; padding: 5px;"> <div style="width: 20%; border-right: 1px solid black; padding: 5px;">Describe nature of business:</div> <div style="flex-grow: 1; padding: 5px;"></div> </div>	
2. <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)		
3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)		
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?		 <input type="radio"/> Yes <input checked="" type="radio"/> No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ROXAS HOLDINGS, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	2,000,000,000	1.00	2,000,000,000.00			
TOTAL		2,000,000,000	TOTAL P	2,000,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,023,903,953	254,207,734	1.00	1,023,903,953.00	66.14
		less Treasury shares	17,643,480				
TOTAL		1,041,547,433	TOTAL	TOTAL P	1,023,903,953.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Various		COMMON	524,031,846		1.00	524,031,846.00	33.86
Percentage of Foreign Equity :		TOTAL	524,031,846	TOTAL	TOTAL P	524,031,846.00	
TOTAL SUBSCRIBED					P	1,547,935,799.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	5	COMMON	1,023,903,953	1.00	1,023,903,953.00	66.14	
TOTAL		1,023,903,953	TOTAL	P	1,023,903,953.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON	524,031,846	1.00	524,031,846.00	33.86	
33.85 %		TOTAL	524,031,846	TOTAL	P		
				TOTAL PAID-UP	P	1,547,935,799.00	
						100.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: ROXAS HOLDINGS, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PEDRO O. ROXAS 6 Ipil Road, South Forbes Park, Makati City	FILIPINO	N	C	M	Y	Chairman	N/M	104-738-165
2. MANUEL V. PANGILINAN 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati City	FILIPINO	N	M	M	Y	Vice Chairman	C/C	914-481-801
3. RAY C. ESPINOSA Unit 25H, One McKinley Place, 28th Street, Crescent Park West, BGC, Taguig	FILIPINO	N	M	M	Y	N/A	N/A	113-248-118
4. ALEX ERLITO S. FIDER 18 19 & 17/Firs, Liberty Ctr. 104 H. Dela Costa St. Salcedo Village, Makati City	FILIPINO	N	M	M	Y	N/A	N/A	107-043-050
5. CHRISTOPHER H. YOUNG PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City	BRITISH	N	M	M	Y	N/A	A/M	178-235-537
6. OSCAR J. HILADO #32 Unit 308, Florante St., Brgy. Plainview, Mandaluyong City	FILIPINO	N	M	M	Y	N/A	A,C/M; N/C	123-535-799
7. ARLYN S. VILLANUEVA 9F 902 Antel Corporate Centre, 121 Valer St., Salcedo Village, Makati City	FILIPINO	N	M	F	Y	N/A	A/C	103-501-444-000
8. SANTIAGO T. GABIONZA, JR. 20/F 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City	FILIPINO	N	M	M	Y	N/A	N,C/M	106-915-999
10. CYNTHIA L. DE LA PAZ #18 Capitol Golf Townhomes & Golfers Villas, Diliman, Quezon City	FILIPINO	N	N/A	M	N	Corporate Secretary	N/A	107-043-122
11. AIMEE E. PEDAYO 41 Sta. Monica, Kapitolyo, Pasig City	FILIPINO	N	N/A	F	N	Deputy Compliance Officer/Asst Corp	N/A	273-720-041-000
12. MA. HAZEL L. RABARA-RETARDO #21 Jalaur Triangle NIA Village, Quezon City	FILIPINO	N	N/A	F	N	VP - Head of Legal and Human Resources/ Compliance Officer/Asst Corp Sec.	N/A	214-216-562
13. VERONICA C. CORTEZ ACM Woodstock Homes, B39 L15, PH 3, Alapan I- A, Imus, Cavite	FILIPINO	N	N/A	F	N	VP-Head of Finance, Treasury & Admin./ Treasurer	N/A	217-875-713
14. JAYNEL R. SULANGI 7307 Marcelo Ave., Phase 3, Marcelo Green Vil. Barangay City	FILIPINO	N	N/A	M	N	VP - ICT	N/A	407-471-477
15. JOSE MANUEL D. MAPA 12 Strawflower St., Lexington Garden Village, Pasig City	FILIPINO	N	N/A	M	N	VP-Cane Supply and Agri- Business	N/A	150-569-038
16. ALFREDO P. AQUINO, JR. 1A-314 Palmdale Heights Condominium, A. Sandoval Avenue, Pinagbuhatan, Pasig City	FILIPINO	N	N/A	M	N	SVP - Chief Manufacturing Officer (SCBI)	N/A	216-999-094
17. LANIE B. CERBO Lot 3 Blk 7, Don Emilio Village, Barangay St., Kabankalan City, Negros Occidental	FILIPINO	N	N/A	F	N	VP-Plant Operations Head (CADPI)	N/A	131-323-792

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		ROXAS HOLDINGS, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		2,070		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES E 1,845		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		11,515,348,868.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP		
1. PCD NOMINEE CORPORATION Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	902,927,903.00	902,927,903.00	58.33%	902,927,903.00	000-138-757-000
	TOTAL	902,927,903	902,927,903.00			
2. PCD NOMINEE CORPORATION Non-Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila	C	523,850,839	523,850,839	33.84%	523,850,839.00	000-599-760-000
	TOTAL	523,850,839	523,850,839.00			
3. ROXAS & COMPANY, INC. Filipino 7/F Cacho Gonzalez Building, 101 Aguirre, Legazpi Village, Makati, 1226 Metro Manila	C	99,624,995	99,624,995	6.44%	99,624,995.00	102-574-830
	TOTAL	99,624,995	99,624,995.00			
4. OTHERS (Indicate the number of the remaining stockholders)	C	21,532,062	21,532,062	1.39%	21,532,062.00	
	TOTAL	21,532,062.00	21,532,062			
5.	C					
	TOTAL		1.00			
6.	C					
	TOTAL					
7.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,547,935,799	100.00%	1,547,935,799.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS HOLDINGS, INC.						
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS HOLDINGS, INC.						
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS HOLDINGS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		N/A	N/A
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		N/A	N/A
1.3 LOANS/ CREDITS/ ADVANCES		N/A	N/A
1.4 GOVERNMENT TREASURY BILLS		N/A	N/A
1.5 OTHERS		N/A	N/A
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		N/A	N/A
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND		AMOUNT (PhP)	DATE DECLARED
5.1 CASH		N/A	N/A
5.2 STOCK		N/A	N/A
5.3 PROPERTY		N/A	N/A
TOTAL		P	N/A
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS 33 (including Senior Managers, Managers, Asst. Managers & Assoc. Managers)	TOTAL NO. OF RANK & FILE EMPLOYEES 5	TOTAL MANPOWER COMPLEMENT 38

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, AIMEE E. PEDAYO, Assistant Corporate Secretary of Roxas Holdings, Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this APR 18 2023 in MAKATI CITY.


AIMEE E. PEDAYO

(Signature over printed name)

MAKATI CITY APR 18 2023
SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who
personally appeared before me and exhibited to me his/her competent evidence of identity consisting of BIR
TIN No. 273-720-041-000 issued at _____ on June 3, 2011.

Doc No. 374
Page No. 96
Book No. 56
Series of 2023

ATTY. ROMEO M. MONFORT
Notary Public City of Makati
Until December 31, 2023
Appointment No. 172 (2022-2023)
PTR NO. 956241 Jan. 3, 2023 Makati City
IBP No. 1062634 - Jan. 3, 2018
MCLE NO. VI-0023417 Roll No. 27932
26 Amorsolo Street Legaspi Village
Makati City

BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: 2023

SEC REGISTRATION NUMBER:

CORPORATE NAME:

PW 15-A

ROXAS HOLDINGS, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Pedro O. Roxas (through Roxas & Company, Inc.)	6 Ipil Road, South Forbes Park, Makati City	Filipino	19-Mar-56	104-738-165	20.56%	I & D	I (Chairman)
Manuel V. Pangilinan (through First Pacific Natural Resources Holdings BV)	10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati City	Filipino	14-Jul-46	914-481-801	30.22%	I & D	I (Vice Chairman)
Ray C. Espinosa (through First Pacific Natural Resources Holdings BV)	Unit 25H, One McKinley Place, 28th St., Crescent Park West, BGC, Taguig	Filipino	27-Apr-56	113-248-118	30.22%	I & D	I (Director)
Christopher H. Young (through First Pacific Natural Resources Holdings BV)	PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City	British	25-Jul-57	178-235-537	30.22%	I & D	I (Director)

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

ANNEX "A"

The Top Twenty (20) Holders of the common shares as of 31 March 2023 are:

	Stockholder	Nationality	Total No. of Shares	%
1.	PCD Nominee Corporation	Filipino	904,110,890	57.749
2.	PCD Nominee Corporation	Non-Filipino	523,447,381	33.435
3.	Roxas & Company, Inc.	Filipino	99,624,995	6.363
4.	Insular Life Assurance Co., LTD	Filipino	1,198,520	0.077
5.	Jose A. Manzano Jr.	Filipino	1,029,007	0.066
6.	Gilbert Liu	Filipino	1,014,000	0.065
7.	Emilio Pantoja	Filipino	785,078	0.050
8.	Armando M. Medina	Filipino	770,640	0.049
9.	Leonardo T. Siguion Reyna	Filipino	608,400	0.039
10.	O. Ledesma & Co., Inc.	Filipino	446,160	0.028
11.	BMI Holding Corporation	Filipino	431,964	0.028
12.	Antonio G. Nieto	Filipino	367,450	0.023
13.	Corona Realty & Development Corp.	Filipino	365,040	0.023
14.	Lorna Perez Laurel	Filipino	354,900	0.023
15.	Abel Z. Silva III	Filipino	354,900	0.023
16.	Elaine Villar Rivilla	Filipino	346,788	0.022
17.	Rodolfo W. Antonino	Filipino	338,000	0.022
18.	Emma Lopez	Filipino	324,804	0.021
19.	Evelina M. Boongaling	Filipino	321,214	0.021
20.	E. Zobel, Inc.	Filipino	318,435	0.020
	Subtotal		1,536,558,566	98.146%
	Other Shareholders		29,020,713	1.853%
	Grand Total		1,565,579,279	100%

PDTC PARTICIPANTS AS OF MARCH 31, 2023

ROX000000000 March 31, 2023

OUTSTANDING BALANCES FOR SPECIFIC COMPANY

March 31, 2023

ROX000000000

BPNAME	QUANTITY
FIRST METRO SECURITIES BROKERAGE CORP.	974,455,580
MAYBANK SECURITIES, INC.	303,762,638
RCBC SECURITIES, INC.	72,107,937
BDO SECURITIES CORPORATION	23,820,916
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	7,632,277
SB EQUITIES, INC.	6,526,218
ASTRA SECURITIES CORPORATION	5,265,800
COL Financial Group, Inc.	3,845,677
PAPA SECURITIES CORPORATION	3,646,101
BPI SECURITIES CORPORATION	3,636,941
STRATEGIC EQUITIES CORP.	3,479,133
PHILSTOCKS FINANCIAL INC	2,383,308
E. CHUA CHIACO SECURITIES, INC.	1,874,499
ABACUS SECURITIES CORPORATION	1,403,437
G.D. TAN & COMPANY, INC.	1,266,000
VALUE QUEST SECURITIES CORPORATION	1,245,000
TOWER SECURITIES, INC.	900,678
EVERGREEN STOCK BROKERAGE & SEC., INC.	799,671
EASTERN SECURITIES DEVELOPMENT CORPORATION	791,768
TANSENGCO & CO., INC.	718,203
DAVID GO SECURITIES CORP.	691,252
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	593,754
WEALTH SECURITIES, INC.	576,417
HDI SECURITIES, INC.	467,097
R. COYIUTO SECURITIES, INC.	413,148
INVESTORS SECURITIES, INC.	300,500
PNB SECURITIES, INC.	263,750
ANSALDO, GODINEZ & CO., INC.	261,281
PREMIUM SECURITIES, INC.	255,999
CHINA BANK SECURITIES CORPORATION	240,956
QUALITY INVESTMENTS & SECURITIES CORPORATION	234,778
UNICAPITAL SECURITIES INC.	233,509
MANDARIN SECURITIES CORPORATION	221,880
DA MARKET SECURITIES, INC.	200,000
GUILD SECURITIES, INC.	186,961
AP SECURITIES INCORPORATED	183,910
REGINA CAPITAL DEVELOPMENT CORPORATION	180,452
IGC SECURITIES INC.	157,453
WESTLINK GLOBAL EQUITIES, INC.	155,306
CAMPOS, LANUZA & COMPANY, INC.	152,636
SOLAR SECURITIES, INC.	130,537

REGIS PARTNERS, INC.	128,120
PAN ASIA SECURITIES CORP.	110,000
CTS GLOBAL EQUITY GROUP, INC.	109,710
TRITON SECURITIES CORP.	95,344
A & A SECURITIES, INC.	91,988
TIMSON SECURITIES, INC.	90,000
PHILIPPINE EQUITY PARTNERS, INC.	83,697
R & L INVESTMENTS, INC.	80,630
SUMMIT SECURITIES, INC.	76,233
AB CAPITAL SECURITIES, INC.	72,448
F. YAP SECURITIES, INC.	68,694
EAGLE EQUITIES, INC.	61,662
GLOBALINKS SECURITIES & STOCKS, INC.	61,348
FIRST ORIENT SECURITIES, INC.	53,800
YAO & ZIALCITA, INC.	51,000
RCBC TRUST & INVESTMENT DIVISION	50,797
JSG SECURITIES, INC.	50,560
LOPEZ, LOCSIN, LEDESMA & CO., INC.	50,000
NEW WORLD SECURITIES CO., INC.	45,000
DIVERSIFIED SECURITIES, INC.	40,000
ALPHA SECURITIES CORP.	37,214
MERCANTILE SECURITIES CORP.	31,600
EQUITIWORLD SECURITIES, INC.	30,896
LANDBANK SECURITIES, INC.	28,342
JAKA SECURITIES CORP.	27,768
R. S. LIM & CO., INC.	22,621
I. B. GIMENEZ SECURITIES, INC.	20,616
OPTIMUM SECURITIES CORPORATION	20,338
A. T. DE CASTRO SECURITIES CORP.	18,713
E.SECURITIES, INC.	17,160
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	16,248
YU & COMPANY, INC.	16,144
BA SECURITIES, INC.	15,085
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	13,114
INTRA-INVEST SECURITIES, INC.	12,960
VENTURE SECURITIES, INC.	12,680
FIDELITY SECURITIES, INC.	11,300
EAST WEST CAPITAL CORPORATION	10,224
TRENDLINE SECURITIES CORPORATION	9,996
MOUNT PEAK SECURITIES, INC.	7,960
RTG & COMPANY, INC.	6,652
CITIBANK N.A.	6,084
B. H. CHUA SECURITIES CORPORATION	5,400
R. NUBLA SECURITIES, INC.	4,056
ASIAN CAPITAL EQUITIES, INC.	3,900
SALISBURY SECURITIES CORPORATION	3,588
BELSON SECURITIES, INC.	2,807

ASIASEC EQUITIES, INC.	2,028
PLATINUM SECURITIES, INC.	2,028
LUYS SECURITIES COMPANY, INC.	1,690
BENJAMIN CO CA & CO., INC.	1,440
MARINO OLONDRIZ Y CIA	1,404
EQUITABLE SECURIITES (PHILS.) INC.	612
S.J. ROXAS & CO., INC.	350
MDR SECURITIES, INC.	338
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	216
HK SECURITIES, INC.	131
APEX PHILIPPINES EQUITIES CORPORATION	112
I. ACKERMAN & CO., INC.	28
J.M. BARCELON & CO., INC.	28
CENTRAL AZUCARERA DE DON PEDRO	11
Total	1,427,558,271