



110312019000504

**SECURITIES AND EXCHANGE COMMISSION**

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Company Information

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Industry Classification
Company Type Stock Corporation

Document Information

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R O X A S H O L D I N G S I N C .

(Company's Full Name)

1 4 T H F L O O R , N E T O N E C E N T E R
2 6 T H C O R . 3 R D A V E N U E B O N I F A C I O
G L O B A L C I T Y , T A G U I G , M . M .

(Business Address: No. of Street City/Town/Province)

ATTY. MA. HAZEL L. RABARA-RETARDO

771-7800

**2019 AMENDED
GENERAL INFORMATION SHEET**

September 30
Month Day
Fiscal Year

Form Type

February 3rd Wed.
Month Day
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

| AMENDED GENERAL INFORMATION SHEET (GIS) FOR THE YEAR 2019 STOCK CORPORATION | | |
|--|--|---|
| GENERAL INSTRUCTIONS: 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED. 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS | | |
| ===== PLEASE PRINT LEGIBLY ===== | | |
| CORPORATE NAME: <div style="text-align: center;">ROXAS HOLDINGS, INC.</div> | DATE REGISTERED: <div style="text-align: center;">31-Oct-30</div> | |
| BUSINESS/TRADE NAME: <div style="text-align: center;">N/A</div> | FISCAL YEAR END: <div style="text-align: center;">September 30</div> | |
| SEC REGISTRATION NUMBER: <div style="text-align: center;">PW 15-A</div> | CORPORATE TAX IDENTIFICATION NUMBER (TIN) <div style="text-align: center;">000-290-538-000</div> | |
| DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">Every 2nd Wednesday of March of each year</div> | WEBSITE/URL ADDRESS: <div style="text-align: center;">N/A</div> | |
| ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">April 30 2019</div> | E-MAIL ADDRESS: <div style="text-align: center;">N/A</div> | |
| COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">14F Net One Center, 26th St. cor. 3rd Ave. Bonfacio Global City, Taguig.</div> | FAX NUMBER: <div style="text-align: center;">771-7820 / 771-7821</div> | |
| COMPLETE BUSINESS ADDRESS: <div style="text-align: center;">14F Net One Center, 26th St. cor. 3rd Ave. Bonfacio Global City, Taguig.</div> | TELEPHONE NUMBER(S): <div style="text-align: center;">771-7800 to 05</div> | |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SGV & Co. / Alleen L. Saringan | SEC ACCREDITATION NUMBER (if applicable): <div style="text-align: center;">0096-AR-4 (Group A)</div> | GEOGRAPHICAL CODE: <div style="text-align: center;">N/A</div> |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding and Investment Company | INDUSTRY CLASSIFICATION: | |
| ===== INTERCOMPANY AFFILIATIONS ===== | | |
| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
| FIRST AGRI HOLDINGS CORPORATION | N/A | 10F MGO Bldg. Legaspi cor. Dela Rosa St., Makati City |
| FP NATURAL RESOURCES HOLDINGS BV | N/A | Prins Bernhardplein 200, 1097 JB Amsterdam, The Netherlands |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| CENTRAL AZUCARERA DON PEDRO, INC. | A200105204 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig |
| CENTRAL AZUCARERA DE LA CARLOTA, INC. | CS200253516 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig |
| ROXOL BIOENERGY CORPORATION | CS200803267 | Brgy. Nagasi, La Carlota City, Negros Occidental |
| SAN CARLOS BIOENERGY, INC. | CS200508967 | San Carlos Ecozone, Brgys. Palampas and Punao, San Carlos City, Negros Occidental |
| NAJALIN AGRI-VENTURES, INC. | 76154 | CAC Compound, La Carlota City, Negros Occidental |
| ROXAS POWER CORPORATION | CS200810985 | Units 1 & 2 Landing, JP Laurel St., Nasugbu, Batangas |
| RHI AGRI-BUSINESS DEVELOPMENT CORPORATION | CS201503434 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig |
| ROXAS PACIFIC BIOENERGY CORPORATION | CS201502639 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig |
| RHI PACIFIC COMMERCIAL CORP. | CS201517914 | 14F, Net One Center, 26th cor. 3rd Ave., BGC, Taguig |
| NORTHEASTERN PORT AND STORAGE CORPORATION | CS200728594 | Emerald Arcade City Center, FC Ladesma Avenue, San Carlos City, Negros Occidental |
| NOTE: USE ADDITIONAL SHEET IF NECESSARY | | |

| GENERAL INFORMATION SHEET STOCK CORPORATION ===== PLEASE PRINT LEGIBLY ===== | | |
|---|---|---|
| Corporate Name: ROXAS HOLDINGS, INC. | | |
| A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input type="radio"/> Yes <input checked="" type="radio"/> No | | |
| Please check the appropriate box: | | |
| 1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates. | 4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals | |
| 2. <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC) | 5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone 6. Company service providers which, as a business, provide any of the following services to third parties: <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person | |
| 3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) | 7. Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities 8. <input type="checkbox"/> None of the above | |
| | <div style="display: flex;"> <div style="width: 20%; font-size: small;">Describe nature of business:</div> <div style="flex-grow: 1;"></div> </div> | |
| B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? | | <input type="radio"/> Yes <input checked="" type="radio"/> No |

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| | | | | | | | |
|---------------------------------------|----------------------|----------------------|--------------------|--|-------------------------|-------------------------|----------------|
| CORPORATE NAME: | | ROXAS HOLDINGS, INC. | | | | | |
| CAPITAL STRUCTURE | | | | | | | |
| AUTHORIZED CAPITAL STOCK | | | | | | | |
| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) (No. of shares X Par/Stated Value) | | | |
| | COMMON | 2,000,000,000 | 1.00 | 2,000,000,000.00 | | | |
| | | | | | | | |
| | | | | | | | |
| TOTAL | | 2,000,000,000 | TOTAL P | 2,000,000,000.00 | | | |
| SUBSCRIBED CAPITAL | | | | | | | |
| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
| | 6 | COMMON | 1,023,824,753 | 254,146,187 | 1.00 | 1,023,824,753.00 | 66.14 |
| | | less Treasury shares | 17,643,480 | | | | |
| TOTAL | | 1,041,468,233 | TOTAL | TOTAL P | 1,023,824,753.00 | | |
| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
| Various | | COMMON | 524,111,046 | | 1.00 | 524,111,046.00 | 33.86 |
| | | | | | | | |
| | | | | | | | |
| Percentage of Foreign Equity : | | TOTAL | 524,111,046 | TOTAL | TOTAL P | 524,111,046.00 | |
| TOTAL SUBSCRIBED | | | | | P | 1,547,935,799.00 | |
| PAID-UP CAPITAL | | | | | | | |
| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
| | 6 | COMMON | 1,023,824,753 | 1.00 | 1,023,824,753.00 | 66.14 | |
| | | | | | | | |
| | | | | | | | |
| TOTAL | | 1,023,824,753 | TOTAL | P | 1,023,824,753.00 | | |
| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
| | | COMMON | 524,111,046 | 1.00 | 524,111,046.00 | 33.86 | |
| | | | | | | | |
| | | | | | | | |
| 33.86 % | | TOTAL | 524,111,046 | TOTAL | P | 524,111,046.00 | |
| TOTAL PAID-UP | | | | P | 1,547,935,799.00 | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

| CORPORATE NAME: ROXAS HOLDINGS, INC. | | | | | | | | |
|--|-------------|------|-------|--------|--------------|---------------------------|-------------|---------------------------|
| DIRECTORS / OFFICERS | | | | | | | | |
| NAME/CURRENT RESIDENTIAL ADDRESS | NATIONALITY | INCR | BOARD | GENDER | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NUMBER |
| 1. PEDRO E. ROXAS 6 Ipi Road, South Forbes Park, Makati City | FILIPINO | Y | C | M | Y | Chairman | N/A | 104-738-165 |
| 2. MANUEL V. PANGILINAN 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., | FILIPINO | Y | M | M | Y | Vice Chairman | N/A | 914-481-801 |
| 3. HUBERT D. TUBIO 63 P. Almendras St., Mabolo, Cebu City | FILIPINO | Y | M | M | Y | President & CEO | N/A | 102-574-830 |
| 4. RAY C. ESPINOSA Unit 25H, One McKinley Place, 28th Street, Crescent Park West, BGC, Taguig | FILIPINO | Y | M | M | Y | M | N/A | 113-248-118 |
| 5. ALEX ERIJTO S. FIDER 18 19 & 17/Frs, Liberty Ctr. 104 H. Dela Costa St. Salcedo Village, Makati City | FILIPINO | Y | M | M | Y | M | N/A | 107-043-050 |
| 6. CHRISTOPHER H. YOUNG PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave. Makati City | FILIPINO | Y | M | M | Y | M | N/A | 178-235-537 |
| 7. OSCAR J. HILADO #32 Unit 308, Florante St., Brgy. Plainview, Mandaluyong City | FILIPINO | Y | M | M | Y | ID | N/A | 123-535-799 |
| 8. ARLYN S. VILLANUEVA 9F 902 Antel Corporate Centre, 121 Valer St., Salcedo Village, Makati City | FILIPINO | Y | M | F | Y | ID | N/A | 103-501-444-000 |
| 9. SANTIAGO T. GABIONZA, JR. 20/F 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City | FILIPINO | Y | M | M | Y | ID | N/A | 106-915-999 |
| 10. CYNTHIA L. DELA PAZ #18 Capitol Golf Townhomes & Golfers Villas, Diliman, Quezon City | FILIPINO | Y | M | F | N | Corporate Secretary | N/A | 107-043-122 |
| 11. MA. HAZEL L. RABARA-RETARDO #21 Jalaur Triangle NIA Village, Quezon City | FILIPINO | N | N/A | F | N | Asst. Corp Sec/Compliance | N/A | 214-216-562 |
| 12. ARCADIO S. LOZADA, JR. #32 Unit 308, Florante St., Brgy. Plainview, Mandaluyong City | FILIPINO | Y | M | M | N | EVP-COO Sugar SBU | N/A | 104-240-170 |
| 13. CELSO T. DIMARUCUT 124 Nicanor Abelardo cor. Conchita Cruz Dr., BF Executive, Paranaque City | FILIPINO | Y | M | M | N | Treasurer/CFO | N/A | 117-990-083 |
| 14. FREDERICK E. REYES Unit 1, Hillside Residences, Sigma Drive, Alpha Village, Capitol Hills, Quezon City | FILIPINO | N | N/A | M | N | VP - Human Resources | N/A | 101-847-858 |
| 15. JAYNEL R. SULANGI 7307 Marcelo Ave., Phase 3, Marcelo Green VII, Paranaque City | FILIPINO | N | N/A | M | N | VP - ICT | N/A | 407-471-477 |
| 16. JOSE MANUEL L. MAPA 12 Strawflower St., Lexington Garden Village, Pasig City | FILIPINO | N | N/A | M | N | VP - GM ADC | N/A | 150-569-038 |
| 17. JOSE B. VILLANUEVA III Block 10 Lot 12 Nectarine Street, Pacific Park Plaza Village, Paliparan I, Dasmariñas Cavite | FILIPINO | N | N/A | M | N | VP - CMO, CADPI & SCBI | N/A | 150-569-038 |
| 18. FILIPINO T. CAYETANO Blk. 17 Lot 9, Sonata St. La Recidencia De Sta. Rosa Subd. Sta. Rosa, Laguna | FILIPINO | N | N/A | M | N | VP - CMO, CACI & RBC | N/A | 138-561-574 |
| 19. JULIUS G. RUGAS Lot 7, Blackberry Meadowood Executive Village, Bacoor, Cavite | FILIPINO | N | N/A | M | N | VP - Manuf. Oper. Head | N/A | 138-561-574 |
| 20. VERONICA C. CORTEZ ACM Woodstock Homes, B39 L15, PH 3, Alapan I-A, Imus, Cavite | FILIPINO | N | N/A | F | N | AVP - Finance | N/A | 217-875-713 |
| 21. DAISY PERPETUA A. BO B17 L2 G. Slang St., New capitol Estate 1, Brgy. Batasan Hills, Quezon City | FILIPINO | N | N/A | F | N | AVP - QA/Safety /IE | N/A | 100-905-256 |
| 22. JOSEPHINE M. LOGROÑO 117 Washington St., Green Park Village, Pasig City | FILIPINO | N | N/A | F | N | AVP - Internal Audit | N/A | 912-370-417 |
| 23. JAYPEE V. JIMENEZ #108 Carnation St. Palmera Spring 1 Subdv. Camarin, Calocan City | FILIPINO | N | N/A | M | N | AVP - Proc. & Mat. | N/A | 242-975-443-000 |
| 23. ANNA G. YU Unit 10K Villa Marie Executive Townhomes, 18th Avenue, Quezon City | FILIPINO | N | N/A | F | N | Chief Credit & Risk | N/A | 112-071-056 |

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INCR COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE, "A" FOR AUDIT COMMITTEE, "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY ===== | | | | | | |
|--|-------------------|----------------------|--|--|-------------------------|---------------------------|
| CORPORATE NAME: | | ROXAS HOLDINGS, INC. | | | | |
| TOTAL NUMBER OF STOCKHOLDERS: | | 2,088 | | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 1,868 | | |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | | | | | | 25,530,515 |
| STOCKHOLDER'S INFORMATION | | | | | | |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 1. PCD NOMINEE CORPORATION Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila | C | 902,826,302.00 | 902,826,302.00 | 58.32% | 902,826,302.00 | 000-138-757-000 |
| | | | | | | |
| TOTAL | | 902,826,302 | 902,826,302.00 | | | |
| 2. PCD NOMINEE CORPORATION Non-Filipino 6764 Ayala Ave, Legazpi Village, Makati, Metro Manila | C | 523,912,039 | 523,912,039 | 33.85% | 523,912,039.00 | 000-599-760-000 |
| | | | | | | |
| TOTAL | | 523,912,039 | 523,912,039.00 | | | |
| 3. ROXAS & COMPANY, INC. Filipino 7/F Cacho Gonzalez Building, 101 Aguirre, Legazpi Village, Makati, 1226 Metro Manila | C | 99,624,995 | 99,624,995 | 6.44% | 99,624,995.00 | 102-574-830 |
| | | | | | | |
| TOTAL | | 99,624,995 | 99,624,995.00 | | | |
| 4. OTHERS (Indicate the number of the remaining stockholders) | C | 21,572,463 | 21,572,463 | 1.39% | 21,572,463.00 | |
| | | | | | | |
| TOTAL | | 21,572,463.00 | 21,572,463.00 | | | |
| 5. | | | | | | |
| | | | | | | |
| TOTAL | | | | | | |
| 6. | | | | | | |
| | | | | | | |
| TOTAL | | | | | | |
| 7. | | | | | | |
| | | | | | | |
| TOTAL | | | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 1,547,935,799 | 100.00% | 1,547,935,799.00 | |
| | | | TOTAL AMOUNT OF PAID-UP CAPITAL | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY ===== | | | |
|--|--|---|----------------------------------|
| CORPORATE NAME: ROXAS POWER CORPORATION | | | |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION | |
| 1.1 STOCKS | list of subsidiaries, p1 | N/A | |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | N/A | N/A | |
| 1.3 LOANS/ CREDITS/ ADVANCES | N/A | N/A | |
| 1.4 GOVERNMENT TREASURY BILLS | N/A | N/A | |
| 1.5 OTHERS | N/A | N/A | |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION | |
| N/A | N/A | N/A | |
| 3. TREASURY SHARES 17,643,480 | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED | |
| | N/A | | |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR | | | |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: | | | |
| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED | |
| 5.1 CASH | N/A | N/A | |
| 5.2 STOCK | N/A | N/A | |
| 5.3 PROPERTY | N/A | N/A | |
| TOTAL | P | N/A | |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: | | | |
| DATE | NO. OF SHARES | AMOUNT | |
| | | | |
| | | | |
| | | | |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: | | | |
| NAME OF AGENCY: | SEC | BSP | IC |
| TYPE OF LICENSE/REGN. | N/A | N/A | N/A |
| DATE ISSUED: | | | |
| DATE STARTED OPERATIONS: | | | |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| | 81 (including Senior Managers, Managers, Asst. Managers & Assoc. Managers) | 27 | 108 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

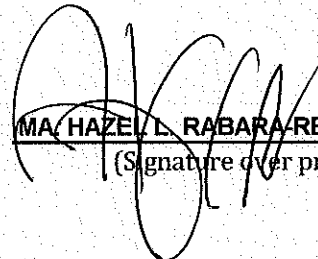
I, MA. HAZEL L. RABARA-RETARDO, Assistant Corporate Secretary of ROXAS HOLDINGS, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

OCT 31 2019
Done this _____ day of October, 2019 in MAKATI CITY.

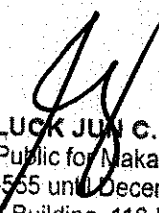

MA. HAZEL L. RABARA-RETARDO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on OCT 31 2019 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of TIN NO. 214-216-562-000 issued at _____ on June 15, 2005.

Doc. No.
Page No.
Series Of:

DOC. NO. 172
PAGE NO. 36
BOOK/NO. 14
SERIES OF 2019




ATTY. SHERLUCK JUN C. VILLEGAS
Notary Public for Makati City
Appt. No. M-555 until December 2020
Unit 3C LTA Building, 118 Perea St.
Legaspi Village, Makati City
Roll No. 70942
IBP No. 077619 /06-21-2019/ Pasig City
PTR No 7623640 /04-16-2019/ Makati City
MCLE Compliance No. VI-0028223

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

PW 15-A

CORPORATE NAME:

ROXAS HOLDINGS, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

| COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., jr., Sr., III)) | SPECIFIC RESIDENTIAL ADDRESS | NATIONALITY | DATE OF BIRTH | TAX IDENTIFICATION NO. | % OF OWNERSHIP ¹ / % OF VOTING RIGHTS ² | TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I) | CATEGORY OF BENEFICIAL OWNERSHIP |
|---|--|-------------|---------------|------------------------|---|---|----------------------------------|
| Pedro E. Roxas (through Roxas & Company, Inc.) | 6 Ipil Road, South Forbes Park, Makati City | Filipino | 19-Mar-56 | 104-738-165 | 20.56% | I & D | I (Chairman of RCI) |
| Manuel V. Pangilinan(through First Pacific Natural Resources Holdings BV) | 10th Floor PLDT MGO Building, Dela Rosa corner Legaspi St., Legaspi Village, Makati City | Filipino | 14-Jul-46 | 914-481-801 | 30.22% | I & D | I (Managing Director & CEO) |
| Ray C. Espinosa (through First Pacific Natural Resources Holdings BV) | Unit 25H, One McKinley Place, 28th St., Crescent Park West, BGC, Taguig | Filipino | 27-Apr-56 | 113-248-118 | 30.22% | I & D | I (Associate Director) |

| | | | | | | | |
|---|--|--|-----------|-------------|--------|-------|-----------------|
| Christopher H. Young (through First Pacific Natural Resources Holdings BV) | PLDT, 12th Floor, Ramon Cojuangco Bldg., Makati Ave., Makati City | | 25-Jul-57 | | | | I (Executive |
| | Filipino | | | 178-235-537 | 30.22% | I & D | Director & CFO) |

Note: This page is not for uploading on the SEC IView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

The Top Twenty (20) Holders of the common shares as of 30 September 2019 were:

| | Stockholder | Nationality | Total No. of Shares | % |
|-----|-----------------------------------|--------------------|----------------------------|-------------|
| 1. | PCD Nominee Corporation | Filipino | 902,826,302 | 57.667 |
| 2. | PCD Nominee Corporation | Non-Filipino | 523,912,039 | 33.464 |
| 3. | Roxas & Company, Inc. | Filipino | 99,624,995 | 6.363 |
| 4. | Insular Life Assurance Co., Ltd. | Filipino | 1,198,520 | 0.077 |
| 5. | Jose A. Manzano Jr. | Filipino | 1,029,007 | 0.066 |
| 6. | Gilbert Liu | Filipino | 1,014,000 | 0.065 |
| 7. | Emilio Pantoja | Filipino | 785,078 | 0.050 |
| 8. | Armando M. Medina | Filipino | 770,640 | 0.049 |
| 9. | Leonardo T. Siguion Reyna | Filipino | 608,400 | 0.039 |
| 10. | Raul S. Roco | Filipino | 486,720 | 0.031 |
| 11. | O. Ledesma & Co., Inc. | Filipino | 446,160 | 0.028 |
| 12. | BMI Holding Corporation | Filipino | 431,964 | 0.028 |
| 13. | Antonio G. Nieto | Filipino | 367,450 | 0.023 |
| 14. | Corona Realty & Development Corp. | Filipino | 365,040 | 0.023 |
| 15. | Lorna Perez Laurel | Filipino | 354,900 | 0.023 |
| 16. | Abel Z. Silva III | Filipino | 354,900 | 0.023 |
| 17. | Elaine Villar Rivilla | Filipino | 346,788 | 0.022 |
| 18. | Rodolfo W. Antonino | Filipino | 338,000 | 0.022 |
| 19. | Emma Lopez | Filipino | 324,804 | 0.021 |
| 20. | Evelina M. Boongaling | Filipino | 321,214 | 0.021 |
| | | | | |
| | Subtotal | | 1,535,906,921 | 99.13% |
| | Other Shareholders | | 13,422,086 | 0.87% |
| | Grand Total | | 1,549,329,007 | 100% |

PDTC PARTICIPANTS AS OF SEPTEMBER 30, 2019
OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ROX000000000 - ROXAS HOLDINGS, INC.

Business Date: September 30, 2019

| BP NAME | HOLDING |
|--|-------------|
| FIRST METRO SECURITIES BROKERAGE CORP. | 974,170,200 |
| MAYBANK ATR KIM ENG SECURITIES, INC. | 304,136,020 |
| RCBC SECURITIES, INC. | 71,853,789 |
| THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 14,255,998 |
| BDO SECURITIES CORPORATION | 9,264,208 |
| RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS | 7,632,277 |
| SB EQUITIES, INC. | 6,440,938 |
| ASTRA SECURITIES CORPORATION | 5,274,800 |
| STRATEGIC EQUITIES CORP. | 4,096,133 |
| PAPA SECURITIES CORPORATION | 3,913,334 |
| BPI SECURITIES CORPORATION | 3,246,539 |
| COL Financial Group, Inc. | 3,138,769 |
| VALUE QUEST SECURITIES CORPORATION | 2,245,000 |
| E. CHUA CHIACO SECURITIES, INC. | 1,794,208 |
| UNICAPITAL SECURITIES INC. | 1,393,509 |
| PHILSTOCKS FINANCIAL INC | 1,255,528 |
| ABACUS SECURITIES CORPORATION | 1,115,845 |
| HDI SECURITIES, INC. | 1,015,985 |
| EASTERN SECURITIES DEVELOPMENT CORPORATION | 815,768 |
| TOWER SECURITIES, INC. | 809,334 |
| EVERGREEN STOCK BROKERAGE & SEC., INC. | 799,671 |
| G.D. TAN & COMPANY, INC. | 740,000 |
| TANSENGCO & CO., INC. | 716,315 |
| WEALTH SECURITIES, INC. | 587,017 |
| R. COYIUTO SECURITIES, INC. | 404,428 |
| PREMIUM SECURITIES, INC. | 401,859 |
| REGINA CAPITAL DEVELOPMENT CORPORATION | 344,099 |
| BDO NOMURA SECURITIES INC | 290,734 |
| ANSALDO, GODINEZ & CO., INC. | 261,281 |
| QUALITY INVESTMENTS & SECURITIES CORPORATION | 234,778 |
| MANDARIN SECURITIES CORPORATION | 219,852 |
| GUILD SECURITIES, INC. | 188,014 |
| AP SECURITIES INCORPORATED | 183,910 |
| SUMMIT SECURITIES, INC. | 177,583 |
| CAMPOS, LANUZA & COMPANY, INC. | 165,629 |
| WESTLINK GLOBAL EQUITIES, INC. | 155,306 |
| LOPEZ, LOCSIN, LEDESMA & CO., INC. | 155,080 |
| PNB SECURITIES, INC. | 153,598 |
| CTS GLOBAL EQUITY GROUP, INC. | 141,390 |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC. | 138,899 |
| IGC SECURITIES INC. | 134,576 |

| | |
|---|---------|
| SOLAR SECURITIES, INC. | 130,537 |
| R. S. LIM & CO., INC. | 129,334 |
| DEUTSCHE REGIS PARTNERS, INC. | 128,120 |
| CHINA BANK SECURITIES CORPORATION | 124,056 |
| INVESTORS SECURITIES, INC. | 114,500 |
| COHERCO SECURITIES, INC. | 100,000 |
| AB CAPITAL SECURITIES, INC. | 95,448 |
| TRITON SECURITIES CORP. | 95,344 |
| A & A SECURITIES, INC. | 91,988 |
| R & L INVESTMENTS, INC. | 80,630 |
| PHILIPPINE EQUITY PARTNERS, INC. | 78,977 |
| F. YAP SECURITIES, INC. | 67,694 |
| JSG SECURITIES, INC. | 60,560 |
| DAVID GO SECURITIES CORP. | 57,252 |
| FIRST ORIENT SECURITIES, INC. | 53,800 |
| YAO & ZIALCITA, INC. | 51,000 |
| RCBC TRUST & INVESTMENT DIVISION | 50,797 |
| UCPB SECURITIES, INC. | 45,242 |
| NEW WORLD SECURITIES CO., INC. | 45,000 |
| PAN ASIA SECURITIES CORP. | 45,000 |
| DIVERSIFIED SECURITIES, INC. | 40,000 |
| EAGLE EQUITIES, INC. | 37,662 |
| ALPHA SECURITIES CORP. | 37,214 |
| YU & COMPANY, INC. | 36,284 |
| R. NUBLA SECURITIES, INC. | 36,056 |
| GLOBALINKS SECURITIES & STOCKS, INC. | 35,348 |
| EQUITIWORLD SECURITIES, INC. | 34,952 |
| MERCANTILE SECURITIES CORP. | 31,600 |
| JAKA SECURITIES CORP. | 27,768 |
| MOUNT PEAK SECURITIES, INC. | 25,240 |
| RTG & COMPANY, INC. | 24,652 |
| LUYS SECURITIES COMPANY, INC. | 21,999 |
| I. B. GIMENEZ SECURITIES, INC. | 20,616 |
| OPTIMUM SECURITIES CORPORATION | 20,338 |
| DA MARKET SECURITIES, INC. | 20,000 |
| E.SECURITIES, INC. | 17,160 |
| IMPERIAL, DE GUZMAN, ABALOS & CO., INC. | 16,248 |
| BA SECURITIES, INC. | 15,085 |
| B. H. CHUA SECURITIES CORPORATION | 13,652 |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 13,114 |
| INTRA-INVEST SECURITIES, INC. | 13,112 |
| BELSON SECURITIES, INC. | 12,799 |
| VENTURE SECURITIES, INC. | 12,680 |
| EAST WEST CAPITAL CORPORATION | 10,224 |
| TRENDLINE SECURITIES CORPORATION | 9,996 |
| BENJAMIN CO CA & CO., INC. | 9,960 |
| CITIBANK N.A. | 6,084 |

| | |
|--|-------|
| A. T. DE CASTRO SECURITIES CORP. | 5,228 |
| TIMSON SECURITIES, INC. | 4,000 |
| ASIAN CAPITAL EQUITIES, INC. | 3,900 |
| SALISBURY BKT SECURITIES CORPORATION | 3,588 |
| S.J. ROXAS & CO., INC. | 3,158 |
| DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC. | 2,880 |
| ASIASEC EQUITIES, INC. | 2,028 |
| PLATINUM SECURITIES, INC. | 2,028 |
| MARINO OLONDRIZ Y CIA | 1,404 |
| FIDELITY SECURITIES, INC. | 1,300 |
| EQUITABLE SECURIITES (PHILS.) INC. | 612 |
| MDR SECURITIES, INC. | 338 |
| PHILEO ALLIED SECURITIES (PHILIPPINES), INC. | 216 |
| HK SECURITIES, INC. | 131 |
| APEX PHILIPPINES EQUITIES CORPORATION | 112 |
| I. ACKERMAN & CO., INC. | 28 |
| J.M. BARCELON & CO., INC. | 28 |
| KING'S POWER SECURITIES, INC. | 28 |
| CENTRAL AZUCARERA DE DON PEDRO | 11 |

SHAREHOLDERS OF ROXAS & COMPANY, INC. AS OF SEPTEMBER 30, 2019

| Title of Class | Name and Address of Owner/Relationship with Issuer | Name of Beneficial Ownership and Relationship with Record Owner | Citizenship | Number and Nature of Ownership | Percent of Class |
|----------------|---|---|----------------------------------|--------------------------------|------------------|
| Common | SPCI Holdings, Inc. 4F New Solid Bldg. 357 Sen. Gil Puyat Ave., Makati City | SPCI Holdings, Inc. | Philippine National | 692,779,593 | 23.791 |
| Common | Pedro E. Roxas 7/F CG Bldg. 101 Aguirre St. Legaspi Village, Makati City Executive Chairman | Pedro E. Roxas/ Pesan Holdings, Inc. | Filipino/ Philippine National | 326,921,001 | 11.227 |
| Common | PCD Nominee Corporation | PCD Nominee Corporation | Non-Filipino | 258,340,844 | 8.827 |
| Common | PCD Nominee Corporation (Filipino) | PCD Nominee Corporation (Filipino) | Filipino/ Philippine National | 1,140,326,021 | 39.62 |
| Common | CISCO Holdings, Inc. Unit 1701, The Peak | Francisco R. Elizalde | Filipino/ Philippine National | 112,500,000 | 3.863 |